

HUMAN SERVICES SUMMARY

	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee Recommended FY 02	Committee Recommended FY 03	Leg. Change FY 02	Leg. Change FY 03
General Fund						
Commission on Children	677,247	717,459	677,247	717,459	0	0
Department of Social Services	3,504,459,267	3,647,892,921	3,535,511,835	3,680,565,977	31,052,568	32,673,056
Board of Education and Services for the Blind	15,669,203	15,865,442	15,829,203	15,928,442	160,000	63,000
Commission on the Deaf and Hearing Impaired	1,151,648	1,184,271	1,151,648	1,184,271	0	0
Department of Children and Families	542,230,410	571,911,379	544,385,873	574,694,453	2,155,463	2,783,074
Council to Administer the Children's Trust Fund	5,067,397	5,591,951	5,347,397	6,371,951	280,000	780,000
Total General Fund	4,069,255,172	4,243,163,423	4,102,903,203	4,279,462,553	33,648,031	36,299,130
 Soldiers, Sailors and Marines' Fund						
Soldiers, Sailors and Marines' Fund	3,155,691	3,216,137	3,155,691	3,216,137	0	0
 Carry Forward - FY 01 Surplus Appropriations						
Department of Social Services	20,200,000	0	57,300,000	0	37,100,000	0
Department of Children and Families	2,600,000	0	2,400,000	0	-200,000	0

Commission on Children 1013

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03		
POSITION SUMMARY								
Appropriated Funds								
General Fund								
Permanent Full-Time	5	7	8	8	8	8		
OPERATING BUDGET								
Appropriated Funds								
General Fund								
001 Personal Services	322,989	403,030	497,797	533,259	497,797	533,259		
002 Other Expenses	111,452	82,070	131,950	136,575	131,950	136,575		
005 Equipment	0	2,500	2,500	2,625	2,500	2,625		
02X Other Current Expenses	40,000	40,000	45,000	45,000	45,000	45,000		
Agency Total - General Fund	474,441	527,600	677,247	717,459	677,247	717,459		
Additional Funds Available								
Private Contributions	71,807	81,534	43,307	43,307	43,307	43,307		
Federal Contributions	31,221	11,759	11,756	11,756	11,756	11,756		
Agency Grand Total	577,469	620,893	732,310	772,522	732,310	772,522		
BUDGET BY PROGRAM								
Commission on Children								
Permanent Full-Time Positions GF	5	7	8	8	8	8		
General Fund								
Personal Services	322,989	403,030	497,797	533,259	497,797	533,259		
Other Expenses	111,452	82,070	131,950	136,575	131,950	136,575		
Equipment	0	2,500	2,500	2,625	2,500	2,625		
011 Social Health Index	40,000	40,000	45,000	45,000	45,000	45,000		
Total - General Fund	474,441	527,600	677,247	717,459	677,247	717,459		
Federal Contributions								
Public Library Services	953	1,003	1,000	1,000	1,000	1,000		
Childhood Immunization Grants	30,268	10,756	10,756	10,756	10,756	10,756		
Total - Federal Contributions	31,221	11,759	11,756	11,756	11,756	11,756		
Additional Funds Available								
Private Contributions	71,807	81,534	43,307	43,307	43,307	43,307		
Total - All Funds	577,469	620,893	732,310	772,522	732,310	772,522		
EQUIPMENT								
005 Equipment	0	2,500	2,500	2,625	2,500	2,625		
Agency Grand Total	577,469	620,893	732,310	772,522	732,310	772,522		
BUDGET CHANGES								
	Governor's FY 02 Pos.	Amount	Governor's FY 03 Pos.	Amount	Leg. Change FY 02 Pos.	Amount	Leg. Change FY 03 Pos.	Amount
FY 01 Estimated Expenditures - GF	7	563,658	7	563,658	0	0	0	0
Inflation and Non-Program Changes - (B)								
Personal Services	0	17,817	0	50,107	0	0	0	0
Other Expenses	0	8,760	0	13,385	0	0	0	0
Equipment	0	0	0	125	0	0	0	0
Total - General Fund	0	26,577	0	63,617	0	0	0	0

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Increase Personal Services for Additional Position - (B)								
-(Governor) Funding of \$45,212 in FY 02 and \$48,384 in FY 03 is recommended to support the additional position of Public Information Officer. This position will be responsible for overseeing the public requests as well as distribution of materials.								
-(Committee) Same as Governor.								
Personal Services	1	45,212	1	48,384	0	0	0	0
Total - General Fund	1	45,212	1	48,384	0	0	0	0
Increase Funding for the Social Health Index - (B)								
The Social Health Index is an annual report that offers analysis of trends in eleven social health indicators. The index is supported through a public-private partnership with the state and the Graustein Foundation. In FY 01 the Commission on Children has \$40,000 appropriated in the Social Health Index account.								
-(Governor) Funding of \$5,000 in FY 02 and FY 03 is recommended to support the increased costs associated with the Social Health Index Report.								
-(Committee) Same as Governor.								
Social Health Index	0	5,000	0	5,000	0	0	0	0
Total - General Fund	0	5,000	0	5,000	0	0	0	0
Funding for New Initiatives - (B)								
-(Governor) Funding of \$17,700 is recommended in FY 02 and FY 03 to support new initiatives that require outside consultants. There are various projects that the commission will be working on during this biennium that will require experts on children's issues for research and colloquiums.								
-(Committee) Same as Governor.								
Other Expenses	0	17,700	0	17,700	0	0	0	0
Total - General Fund	0	17,700	0	17,700	0	0	0	0
Increase Funding for Printing Costs - (B)								
The Commission on Children has produced booklets in English and Spanish on school readiness, how to choose quality childcare, immunization schedules, parent capacity building and the importance of reading to children. Newsletters on the School Readiness and Early Reading Success legislation are written and sent to various groups.								
-(Governor) Funding of \$19,100 is recommended in both FY 02 and FY 03 to support the increased demand on the printing of documents and brochures as well as new products that the commission anticipates to be distributed during the biennium.								
-(Committee) Same as Governor.								
Other Expenses	0	19,100	0	19,100	0	0	0	0
Total - General Fund	0	19,100	0	19,100	0	0	0	0
Budget Totals - GF	8	677,247	8	717,459	0	0	0	0

Department of Social Services 6100

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
POSITION SUMMARY						
Appropriated Funds						
General Fund						
Permanent Full-Time	2,154	2,150	2,155	2,155	2,155	2,155
Additional Funds Available						
Permanent Full-Time	375	375	375	375	375	375
OPERATING BUDGET						
Appropriated Funds						
General Fund						
001 Personal Services	103,409,859	107,163,364	113,438,755	117,698,410	113,438,755	117,698,410
002 Other Expenses	41,648,138	48,756,035	46,471,567	46,397,215	46,471,567	46,397,215
005 Equipment	1,000	1,000	1,000	1,000	1,000	1,000
02X Other Current Expenses	54,619,290	62,302,354	75,575,533	79,635,239	75,985,488	80,045,194
6XX Grant Payments - Other than Towns	3,213,238,990	3,272,613,829	3,263,518,788	3,398,707,433	3,293,961,401	3,430,770,534
7XX Grant Payments - To Towns	17,643,750	14,703,981	5,453,624	5,453,624	5,653,624	5,653,624
Agency Total - General Fund	3,430,561,027	3,505,540,563	3,504,459,267	3,647,892,921	3,535,511,835	3,680,565,977
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	20,200,000	0	57,300,000	0
Special Funds, Non-Appropriated	0	17,500,000	31,800,000	31,800,000	31,800,000	31,800,000
Private Contributions	5,382,655	7,126,735	4,484,415	4,537,619	4,484,415	4,537,619
Federal Contributions	153,738,283	194,537,398	175,774,761	175,289,832	175,774,761	175,289,832
Agency Grand Total	3,589,681,965	3,724,704,696	3,736,718,443	3,859,520,372	3,804,871,011	3,892,193,428
BUDGET BY PROGRAM						
Food Programs - Meet Basic Needs						
General Fund						
028 Anti-Hunger Programs	222,565	227,016	0	0	227,016	227,016
031 State Food Stamp Supplement	1,935,908	1,948,770	1,370,502	1,184,763	1,370,502	1,184,763
Grant Payments - Other Than Towns						
Nutrition Assistance	93,742	95,617	322,633	322,633	95,617	95,617
Total - General Fund	2,252,215	2,271,403	1,693,135	1,507,396	1,693,135	1,507,396
Federal Contributions						
Emergency Food Assist -Admin	368,080	331,647	325,000	325,000	325,000	325,000
Title III In Home Frail Elderly	54,938	0	0	0	0	0
Social Services Block Grant	439,368	448,155	448,155	448,155	448,155	448,155
Total - Federal Contributions	862,386	779,802	773,155	773,155	773,155	773,155
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	800,000	0
Total - All Funds	3,114,601	3,051,205	2,466,290	2,280,551	3,266,290	2,280,551
Food Programs - Promote Independent Living						
Federal Contributions						
USDA Nutrition-Elderly	1,817,047	1,850,000	1,850,000	1,850,000	1,850,000	1,850,000
Title III Congregate Meals	7,062,256	7,174,800	7,100,000	7,100,000	7,100,000	7,100,000
Social Services Block Grant	51,673	50,719	50,719	50,719	50,719	50,719
Total - Federal Contributions	8,930,976	9,075,519	9,000,719	9,000,719	9,000,719	9,000,719
Food Programs - Administration						
General Fund						
Grant Payments - Other Than Towns						
Food Stamp Training Expenses	3,200	0	130,800	130,800	130,800	130,800

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Federal Contributions						
Food Stamps	1,623,666	1,529,721	1,550,000	1,600,000	1,550,000	1,600,000
State Admin Match Grant-Food Stamp Program	1,394,092	1,900,000	2,000,000	2,000,000	2,000,000	2,000,000
Total - Federal Contributions	3,017,758	3,429,721	3,550,000	3,600,000	3,550,000	3,600,000
Total - All Funds	3,020,958	3,429,721	3,680,800	3,730,800	3,680,800	3,730,800
Shelter Programs - Meet Basic Needs						
General Fund						
Grant Payments - Other Than Towns						
Residences for Persons with AIDS	2,035,715	3,017,630	0	0	0	0
Housing/Homeless Services	8,128,302	19,415,196	24,992,337	24,992,337	26,492,337	27,492,337
Shelter Services for Victims of Household Abuse	27,540	28,091	0	0	0	0
Grant Payments - To Towns						
Housing/Homeless Services	0	0	592,427	592,427	592,427	592,427
Total - General Fund	10,191,557	22,460,917	25,584,764	25,584,764	27,084,764	28,084,764
Federal Contributions						
Congregate Housing Services Program	42,309	37,980	0	0	0	0
Emergency Shelters	1,250,771	1,029,885	1,025,000	1,025,000	1,025,000	1,025,000
Housing Opport. for Persons with AIDS	779,904	947,000	947,000	947,000	947,000	947,000
Section 8 Housing Certificate Program	810,297	0	0	0	0	0
Social Services Block Grant/TANF	3,485,806	4,433,801	4,433,801	4,433,801	4,433,801	4,433,801
Refugee and Entrant Assist-Discret Grants	13,750	0	0	0	0	0
Social Services Block Grant	491,574	896,737	896,737	896,737	896,737	896,737
Family Violence Prevention Services	1,176,381	1,381,434	811,146	811,146	811,146	811,146
Oil Company Overcharge Recovery	2,936	0	0	0	0	0
Total - Federal Contributions	8,053,728	8,726,837	8,113,684	8,113,684	8,113,684	8,113,684
Additional Funds Available						
Private Contributions	401,710	426,705	255,000	260,100	255,000	260,100
Total - All Funds	18,646,995	31,614,459	33,953,448	33,958,548	35,453,448	36,458,548
Shelter Programs - Promote Independent Living						
General Fund						
Grant Payments - Other Than Towns						
Transitory Rental Assistance	3,424,103	3,421,000	3,420,950	3,420,950	3,420,950	3,420,950
Energy Assistance	1,488,250	2,081,170	2,081,170	2,081,170	2,081,170	2,081,170
Total - General Fund	4,912,353	5,502,170	5,502,120	5,502,120	5,502,120	5,502,120
Federal Contributions						
Congregate Housing Services Program	266,258	266,000	266,000	266,000	266,000	266,000
Section 8 Housing Vouchers	19,739,512	27,478,772	27,476,772	27,476,772	27,476,772	27,476,772
Lower Income Hsg Sec 8 Moderate	1,062,018	1,072,110	1,072,110	1,072,110	1,072,110	1,072,110
D.O.E. Weatherization	1,143,593	1,365,485	1,380,495	1,380,495	1,380,495	1,380,495
Low Income Home Energy Assist	29,990,060	38,737,465	28,758,429	28,758,429	28,758,429	28,758,429
Social Services Block Grant/TANF	12,333,996	4,785,225	1,591,352	1,591,352	1,591,352	1,591,352
Social Services Block Grant	43,855	71,613	71,613	71,613	71,613	71,613
Oil Company Overcharge Recovery	0	1,201,959	0	0	0	0
Total - Federal Contributions	64,579,292	74,978,629	60,616,771	60,616,771	60,616,771	60,616,771
Total - All Funds	69,491,645	80,480,799	66,118,891	66,118,891	66,118,891	66,118,891
Shelter Programs - Administration						
Federal Contributions						
Social Services Block Grant	467,299	625,065	625,065	625,065	625,065	625,065
Income Support Programs - Meet Basic Needs						
General Fund						
Grant Payments - Other Than Towns						
Old Age Assistance	30,938,990	30,974,340	31,239,571	32,532,050	32,723,916	34,769,604
Aid to the Blind	581,785	579,524	599,452	662,496	617,869	695,366
Aid to the Disabled	62,192,298	60,572,596	61,107,334	61,345,090	63,032,293	64,743,392
Temporary Assistance to Families	24,258,319	24,043,043	0	0	0	0
Temporary Assistance to Families - TANF	143,384,259	123,839,604	126,166,832	117,585,886	130,796,926	114,858,709
State Administered General Assistance	15,650,303	16,319,553	15,998,242	16,132,595	16,628,242	16,632,595
Total - General Fund	277,005,954	256,328,660	235,111,431	228,258,117	243,799,246	231,699,666

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Federal Contributions						
Refugee Services	450,649	450,000	462,000	475,000	462,000	475,000
Total - All Funds	277,456,603	256,778,660	235,573,431	228,733,117	244,261,246	232,174,666
Income Support Programs - Improve Workforce Viability						
General Fund						
036 Day Care Projects	504,726	491,351	490,533	490,533	490,533	490,533
Grant Payments - Other Than Towns						
Transportation for Employment Independence Program	2,674,402	2,940,490	2,940,430	2,940,430	2,940,430	2,940,430
Child Care Services	6,002,584	6,002,584	0	0	0	0
Child Care Services-TANF/CCDBG	105,556,690	109,869,269	111,209,944	109,579,309	114,109,944	121,379,309
Employment Opportunities	899,004	871,184	871,135	871,135	871,135	871,135
Child Day Care	11,669,156	5,958,688	3,677,350	3,677,350	3,677,350	3,677,350
School Readiness	5,110,377	5,604,764	0	0	5,350,000	5,350,000
Grant Payments - To Towns						
Child Day Care	9,772,937	4,876,487	3,629,725	3,629,725	3,629,725	3,629,725
Total - General Fund	142,189,876	136,614,817	122,819,117	121,188,482	131,069,117	138,338,482
Federal Contributions						
SSA Voc Rehab Program	0	240,000	220,000	200,000	220,000	200,000
Social Services Block Grant/TANF	1,232,230	13,403,318	16,931,340	16,931,340	16,931,340	16,931,340
Child Care Development Block Grant	178,176	0	0	0	0	0
Social Services Block Grant	1,279,294	3,697,761	3,697,761	3,697,761	3,697,761	3,697,761
Total - Federal Contributions	2,689,700	17,341,079	20,849,101	20,829,101	20,849,101	20,829,101
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	2,000,000	0
Private Contributions	867,275	1,304,036	746,862	746,862	746,862	746,862
Total - Additional Funds Available	867,275	1,304,036	746,862	746,862	2,746,862	746,862
Total - All Funds	145,746,851	155,259,932	144,415,080	142,764,445	154,665,080	159,914,445
Income Support Programs - Administration						
General Fund						
029 Genetic Tests in Paternity Actions	186,248	218,484	218,484	218,484	218,484	218,484
Grant Payments - Other Than Towns						
Refunds of Collections	1,322,136	200,000	200,000	200,000	200,000	200,000
Total - General Fund	1,508,384	418,484	418,484	418,484	418,484	418,484
Federal Contributions						
Child Support	317,665	1,425,053	2,500,000	1,100,000	2,500,000	1,100,000
Refugee Services	293,260	300,000	310,000	320,000	310,000	320,000
Child Impact Study	494,772	525,570	225,244	0	225,244	0
Social Services Block Grant	140,801	0	0	0	0	0
Social Security Disability Ins	14,357,327	15,479,387	14,300,000	14,300,000	14,300,000	14,300,000
Total - Federal Contributions	15,603,825	17,730,010	17,335,244	15,720,000	17,335,244	15,720,000
Total - All Funds	17,112,209	18,148,494	17,753,728	16,138,484	17,753,728	16,138,484
Health and Behavioral Health Programs - Meet Basic Needs						
General Fund						
040 HUSKY Program	7,274,328	11,900,000	18,790,000	21,890,000	18,972,939	22,072,939
Grant Payments - Other Than Towns						
Medicaid	1,956,255,208	2,054,740,101	2,276,930,793	2,378,790,380	2,280,514,604	2,379,374,191
DMHAS-Disproportionate Share	191,000,000	151,000,000	105,935,000	105,935,000	105,935,000	105,935,000
Hospital Assistance Program	5,488,723	1,512,642	0	0	0	0
Disproportionate Share-Medical Emergency Assistance	204,511,277	205,487,358	0	0	0	0
State Administered General Assistance	71,929,550	78,145,222	88,542,819	94,598,647	92,710,121	98,740,366
Connecticut Children's Medical Center	7,000,000	7,000,000	7,000,000	7,000,000	7,000,000	7,000,000
Indigent Immigrant Medical Services	275,000	0	0	0	0	0
Grant Payments - To Towns						
Traumatic Brain Injury	55,590	56,702	0	0	0	0
Total - General Fund	2,443,789,676	2,509,842,025	2,497,198,612	2,608,214,027	2,505,132,664	2,613,122,496

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Federal Contributions						
Centers of Independent Living	492,599	0	0	0	0	0
Refugee Services	450,649	450,000	463,000	475,000	463,000	475,000
Total - Federal Contributions	943,248	450,000	463,000	475,000	463,000	475,000
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	22,500,000	0
Special Funds, Non-Appropriated	0	17,500,000	17,500,000	17,500,000	17,500,000	17,500,000
Private Contributions	0	50,000	50,000	50,000	50,000	50,000
Total - Additional Funds Available	0	17,550,000	17,550,000	17,550,000	40,050,000	17,550,000
Total - All Funds	2,444,732,924	2,527,842,025	2,515,211,612	2,626,239,027	2,545,645,664	2,631,147,496
Health and Behavioral Health Programs - Improve Workforce Viability						
General Fund						
Grant Payments - Other Than Towns						
Medicaid	66,362,680	59,857,208	61,539,319	58,826,270	61,539,319	58,826,270
Grant Payments - To Towns						
School Based Child Health	6,500,000	8,600,000	0	0	0	0
Total - General Fund	72,862,680	68,457,208	61,539,319	58,826,270	61,539,319	58,826,270
Federal Contributions						
Social Services Block Grant	808,876	1,078,501	1,078,501	1,078,501	1,078,501	1,078,501
Additional Funds Available						
Special Funds, Non-Appropriated	0	0	14,300,000	14,300,000	14,300,000	14,300,000
Private Contributions	2,869,104	2,400,000	2,400,000	2,400,000	2,400,000	2,400,000
Total - Additional Funds Available	2,869,104	2,400,000	16,700,000	16,700,000	16,700,000	16,700,000
Total - All Funds	76,540,660	71,935,709	79,317,820	76,604,771	79,317,820	76,604,771
Health and Behavioral Health Programs - Promote Independent Living						
General Fund						
Grant Payments - Other Than Towns						
Medicaid	194,171,199	202,644,358	227,085,927	255,493,104	229,885,927	258,293,104
Connecticut Pharmaceutical Assistance	39,500,350	45,748,961	58,085,086	65,768,137	58,085,086	65,768,137
Contract to the Elderly						
Connecticut Home Care Program	19,058,098	21,186,088	23,000,000	25,380,000	23,600,000	25,980,000
AIDS Drug Assistance	603,840	615,917	615,917	615,917	615,917	615,917
Total - General Fund	253,333,487	270,195,324	308,786,930	347,257,158	312,186,930	350,657,158
Federal Contributions						
HIV Care Formula Grants	4,007,670	6,738,439	8,353,441	10,150,777	8,353,441	10,150,777
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	17,600,000	0
Total - All Funds	257,341,157	276,933,763	317,140,371	357,407,935	338,140,371	360,807,935
Health and Behavioral Health Programs - Administration						
General Fund						
017 Children's Health Initiative	2,364,708	0	0	0	0	0
018 HUSKY Outreach and Data Collection	0	5,475,221	5,475,060	5,475,060	5,475,060	5,475,060
Grant Payments - Other Than Towns						
Maternal and Child Health Services	2,715,425	0	0	0	0	0
Services for Persons with Disabilities	0	0	0	0	-319,299	-326,962
Total - General Fund	5,080,133	5,475,221	5,475,060	5,475,060	5,155,761	5,148,098
Federal Contributions						
Housing Ombudsman	27,432	22,200	0	0	0	0
Maternal and Child Health	48,707	50,000	50,000	50,000	50,000	50,000
Social Services Block Grant	35,467	0	0	0	0	0
Health Care Financing Research	215,357	280,000	280,000	280,000	280,000	280,000
MCH Block Grant	555,380	486,114	121,529	0	121,529	0
Total - Federal Contributions	882,343	838,314	451,529	330,000	451,529	330,000
Additional Funds Available						
Private Contributions	1,221,374	2,801,866	980,122	1,009,226	980,122	1,009,226
Total - All Funds	7,183,850	9,115,401	6,906,711	6,814,286	6,587,412	6,487,324

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Support and Safety Programs - Meet Basic Needs						
General Fund						
Grant Payments - Other Than Towns						
Emergency Assistance	31,820	500	500	500	500	500
Safety Net Services	4,871,949	4,705,920	4,288,624	4,288,624	4,288,624	4,288,624
Total - General Fund	4,903,769	4,706,420	4,289,124	4,289,124	4,289,124	4,289,124
Federal Contributions						
Disaster Assistance	117,647	2,000	0	0	0	0
Title III Supportive Services	95,849	106,900	107,000	107,000	107,000	107,000
Transition From Homelessness	9,472	0	0	0	0	0
Total - Federal Contributions	222,968	108,900	107,000	107,000	107,000	107,000
Additional Funds Available						
Private Contributions	0	50,000	29,431	29,431	29,431	29,431
Total - All Funds	5,126,737	4,865,320	4,425,555	4,425,555	4,425,555	4,425,555
Support and Safety Programs - Improve Workforce Viability						
General Fund						
Grant Payments - Other Than Towns						
Vocational Rehabilitation	6,929,880	7,068,478	7,068,478	7,068,478	7,068,478	7,068,478
Human Resource Development-Hispanic Programs	208,881	105,559	105,506	105,506	105,506	105,506
Opportunity Industrial Centers	320,006	310,506	0	0	0	0
Human Resource Development	3,782,122	3,827,754	3,827,696	3,827,696	3,827,696	3,827,696
Grant Payments - To Towns						
Human Resource Development	244,173	77,666	77,666	77,666	77,666	77,666
Human Resource Development-Hispanic Programs	11,270	12,150	12,150	12,150	12,150	12,150
Teen Pregnancy Prevention	1,020,000	1,040,400	1,092,420	1,092,420	1,292,420	1,292,420
Vocational Rehabilitation Transition Plan	39,780	40,576	0	0	0	0
Total - General Fund	12,556,112	12,483,089	12,183,916	12,183,916	12,383,916	12,383,916
Federal Contributions						
SCSEP Fund	927,513	963,409	964,000	964,000	964,000	964,000
Job Training Partnership	347,627	0	0	0	0	0
SSA Voc Rehab Program	15,741,881	21,684,106	15,650,000	15,650,000	15,650,000	15,650,000
Voc Rehabilitation - In Service Trng	102,215	91,993	53,412	53,412	53,412	53,412
Supported Employment	285,910	901,126	353,000	353,000	353,000	353,000
Social Services Block Grant/TANF	2,989,161	3,012,942	2,678,793	2,678,793	2,678,793	2,678,793
Urban Development Action Grants	1,039,044	1,700,836	1,700,836	1,700,836	1,700,836	1,700,836
Grants and Access to Visitation	108,328	110,105	110,105	110,105	110,105	110,105
Social Services Block Grant	964,293	941,709	941,709	941,709	941,709	941,709
Total - Federal Contributions	22,505,972	29,406,226	22,451,855	22,451,855	22,451,855	22,451,855
Additional Funds Available						
Private Contributions	7,654	22,128	20,000	20,000	20,000	20,000
Total - All Funds	35,069,738	41,911,443	34,655,771	34,655,771	34,855,771	34,855,771
Support and Safety Programs - Promote Independent Living						
General Fund						
027 Independent Living Center- Administration	22,714	24,388	24,388	24,388	24,388	24,388
Grant Payments - Other Than Towns						
Services to the Elderly	4,025,674	5,097,941	6,048,623	6,048,623	6,748,623	6,748,623
Services for Persons with Disabilities	6,920,032	6,772,609	7,252,689	7,252,689	7,352,689	7,352,689
Independent Living Centers	755,722	729,536	729,444	729,444	729,444	729,444
Grant Payments - To Towns						
Services to the Elderly	0	0	49,236	49,236	49,236	49,236
Total - General Fund	11,724,142	12,624,474	14,104,380	14,104,380	14,904,380	14,904,380
Federal Contributions						
Independent Living (Part A)	195,546	363,371	253,000	253,000	253,000	253,000
Title VII Elder Abuse Prevention	72,464	59,900	60,000	60,000	60,000	60,000
Title III Preventive Health Care	216,030	203,500	203,500	203,500	203,500	203,500
Title III Supportive Services	4,462,339	4,293,160	4,200,000	4,000,000	4,200,000	4,000,000
Housing Ombudsman	170,713	290,000	155,000	0	155,000	0
Social Services Block Grant/TANF	583,715	595,369	595,369	595,369	595,369	595,369

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Refugee Services	1,039,238	1,000,000	1,000,000	1,000,000	1,000,000	1,000,000
Child Care and Development Fund	17,286	0	0	0	0	0
Social Services Block Grant	3,404,664	5,661,512	5,661,512	5,661,512	5,661,512	5,661,512
Total - Federal Contributions	10,161,995	12,466,812	12,128,381	11,773,381	12,128,381	11,773,381
Total - All Funds	21,886,137	25,091,286	26,232,761	25,877,761	27,032,761	26,677,761
Support and Safety Programs - Administration						
General Fund						
037 Commission on Aging	219,102	237,500	266,970	281,033	266,970	281,033
Grant Payments - Other Than Towns						
Community Services	981,400	72,828	354,187	354,187	954,187	954,187
Total - General Fund	1,200,502	310,328	621,157	635,220	1,221,157	1,235,220
Federal Contributions						
Technology Related Assistance	378,838	1,260,702	550,000	550,000	550,000	550,000
Housing Ombudsman	0	71,250	0	0	0	0
Community Services Block Grant	6,256,943	6,370,097	6,370,097	6,370,097	6,370,097	6,370,097
Welfare Reform Research, Eval & Nat Studies	800,416	361,769	245,492	0	245,492	0
Admin for Children/Youth/Fam HS	105,890	87,674	87,674	87,674	87,674	87,674
Social Services Block Grant	2,002,715	2,162,052	2,162,052	2,162,052	2,162,052	2,162,052
Total - Federal Contributions	9,544,802	10,313,544	9,415,315	9,169,823	9,415,315	9,169,823
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	700,000	0
Private Contributions	15,538	72,000	3,000	22,000	3,000	22,000
Total - Additional Funds Available	15,538	72,000	3,000	22,000	703,000	22,000
Total - All Funds	10,760,842	10,695,872	10,039,472	9,827,043	11,339,472	10,427,043
Administrative Programs - Administration						
Permanent Full-Time Positions GF/OF	2,154,375	2,150,375	2,155,375	2,155,375	2,155,375	2,155,375
General Fund						
Personal Services	103,409,859	107,163,364	116,846,478	121,755,540	116,846,478	121,755,540
Other Expenses	41,648,138	48,756,035	46,471,567	46,397,215	46,471,567	46,397,215
Equipment	1,000	1,000	1,000	1,000	1,000	1,000
013 Financial Management Reporting	138,743	26,010	0	0	0	0
038 Information Technology Services	39,794,258	41,753,614	48,939,596	50,070,978	48,939,596	50,070,978
050 Year 2000 Conversion	1,955,990	0	0	0	0	0
Grant Payments - Other Than Towns						
Adjustment of Recoveries	98,999	150,000	150,000	150,000	150,000	150,000
Total - General Fund	187,046,987	197,850,023	212,408,641	218,374,733	212,408,641	218,374,733
Federal Contributions						
CSBG Discretionary Awards	4,796	0	0	0	0	0
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	20,200,000	0	13,700,000	0
Total - All Funds	187,051,783	197,850,023	232,608,641	218,374,733	226,108,641	218,374,733
Personal Services Reductions						
General Fund						
Personal Services	0	0	-1,077,723	-1,727,130	-1,077,723	-1,727,130
Less: Turnover - Personal Services - GF	0	0	-2,330,000	-2,330,000	-2,330,000	-2,330,000
GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
601 Vocational Rehabilitation	6,929,880	7,068,478	7,068,478	7,068,478	7,068,478	7,068,478
602 Medicaid	2,216,789,087	2,317,241,667	2,565,556,039	2,693,109,754	2,571,939,850	2,696,493,565
607 Old Age Assistance	30,938,990	30,974,340	31,239,571	32,532,050	32,723,916	34,769,604
608 Aid to the Blind	581,785	579,524	599,452	662,496	617,869	695,366
609 Aid to the Disabled	62,192,298	60,572,596	61,107,334	61,345,090	63,032,293	64,743,392
610 Temporary Assistance to Families	24,258,319	24,043,043	0	0	0	0
611 Temporary Assistance to Families - TANF	143,384,259	123,839,604	126,166,832	117,585,886	130,796,926	114,858,709
612 Adjustment of Recoveries	98,999	150,000	150,000	150,000	150,000	150,000
613 Emergency Assistance	31,820	500	500	500	500	500
614 Food Stamp Training Expenses	3,200	0	130,800	130,800	130,800	130,800
615 Connecticut Pharmaceutical Assistance Contract to the Elderly	39,500,350	45,748,961	58,085,086	65,768,137	58,085,086	65,768,137

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
616 Maternal and Child Health Services	2,715,425	0	0	0	0	0
617 DMHAS-Disproportionate Share	191,000,000	151,000,000	105,935,000	105,935,000	105,935,000	105,935,000
618 Connecticut Home Care Program	19,058,098	21,186,088	23,000,000	25,380,000	23,600,000	25,980,000
619 Human Resource Development-Hispanic Programs	208,881	105,559	105,506	105,506	105,506	105,506
620 Services to the Elderly	4,025,674	5,097,941	6,048,623	6,048,623	6,748,623	6,748,623
621 Hospital Assistance Program	5,488,723	1,512,642	0	0	0	0
622 Safety Net Services	4,871,949	4,705,920	4,288,624	4,288,624	4,288,624	4,288,624
623 Transportation for Employment Independence Program	2,674,402	2,940,490	2,940,430	2,940,430	2,940,430	2,940,430
625 Opportunity Industrial Centers	320,006	310,506	0	0	0	0
626 Transitional Rental Assistance	3,424,103	3,421,000	3,420,950	3,420,950	3,420,950	3,420,950
627 Refunds of Collections	1,322,136	200,000	200,000	200,000	200,000	200,000
630 Energy Assistance	1,488,250	2,081,170	2,081,170	2,081,170	2,081,170	2,081,170
638 Services for Persons with Disabilities	6,920,032	6,772,609	7,252,689	7,252,689	7,033,390	7,025,727
640 Child Care Services	6,002,584	6,002,584	0	0	0	0
641 Child Care Services-TANF/CCDBG	105,556,690	109,869,269	111,209,944	109,579,309	114,109,944	121,379,309
642 Residences for Persons with AIDS	2,035,715	3,017,630	0	0	0	0
644 Nutrition Assistance	93,742	95,617	322,633	322,633	95,617	95,617
649 Housing/Homeless Services	8,128,302	19,415,196	24,992,337	24,992,337	26,492,337	27,492,337
651 Employment Opportunities	899,004	871,184	871,135	871,135	871,135	871,135
659 Human Resource Development	3,782,122	3,827,754	3,827,696	3,827,696	3,827,696	3,827,696
661 Child Day Care	11,669,156	5,958,688	3,677,350	3,677,350	3,677,350	3,677,350
663 Independent Living Centers	755,722	729,536	729,444	729,444	729,444	729,444
665 AIDS Drug Assistance	603,840	615,917	615,917	615,917	615,917	615,917
666 Shelter Services for Victims of Household Abuse	27,540	28,091	0	0	0	0
667 Disproportionate Share-Medical Emergency Assistance	204,511,277	205,487,358	0	0	0	0
669 State Administered General Assistance	87,579,853	94,464,775	104,541,061	110,731,242	109,338,363	115,372,961
670 School Readiness	5,110,377	5,604,764	0	0	5,350,000	5,350,000
671 Connecticut Children's Medical Center	7,000,000	7,000,000	7,000,000	7,000,000	7,000,000	7,000,000
672 Community Services	981,400	72,828	354,187	354,187	954,187	954,187
673 Indigent Immigrant Medical Services	275,000	0	0	0	0	0
GRANT PAYMENTS - TO TOWNS (Recap)						
706 Child Day Care	9,772,937	4,876,487	3,629,725	3,629,725	3,629,725	3,629,725
708 Human Resource Development	244,173	77,666	77,666	77,666	77,666	77,666
710 Human Resource Development-Hispanic Programs	11,270	12,150	12,150	12,150	12,150	12,150
712 Teen Pregnancy Prevention	1,020,000	1,040,400	1,092,420	1,092,420	1,292,420	1,292,420
713 School Based Child Health	6,500,000	8,600,000	0	0	0	0
714 Services to the Elderly	0	0	49,236	49,236	49,236	49,236
715 Housing/Homeless Services	0	0	592,427	592,427	592,427	592,427
733 Vocational Rehabilitation Transition Plan	39,780	40,576	0	0	0	0
734 Traumatic Brain Injury	55,590	56,702	0	0	0	0
EQUIPMENT						
005 Equipment	1,000	1,000	1,000	1,000	1,000	1,000
Agency Grand Total	3,589,681,965	3,724,704,696	3,736,718,443	3,859,520,372	3,804,871,011	3,892,193,428

BUDGET CHANGES

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY 01 Estimated Expenditures - GF	2,150	3,505,540,563	2,150	3,505,540,563	0	0	0	0
Inflation and Non-Program Changes - (B)								
Personal Services	0	7,188,611	0	12,138,875	0	0	0	0
Other Expenses	0	-1,564,722	0	-595,355	0	0	0	0
Equipment	0	1,944,000	0	1,944,000	0	0	0	0
Financial Management Reporting	0	-26,010	0	-26,010	0	0	0	0
HUSKY Outreach and Data Collection	0	420,554	0	562,053	0	0	0	0

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Independent Living Center- Administration	0	561	0	1,160	0	0	0	0
Genetic Tests in Paternity Actions	0	5,025	0	10,389	0	0	0	0
State Food Stamp Supplement	0	321,732	0	535,993	0	0	0	0
Day Care Projects	0	36,876	0	49,554	0	0	0	0
Commission on Aging	0	42,534	0	57,199	0	0	0	0
Information Technology Services	0	-2,441,206	0	-1,259,021	0	0	0	0
Vocational Rehabilitation	0	162,575	0	336,120	0	0	0	0
Human Resource Development-Hispanic Programs	0	8,054	0	10,781	0	0	0	0
Services to the Elderly	0	1,198,147	0	1,348,071	0	0	0	0
Hospital Assistance Program	0	-1,512,642	0	-1,512,642	0	0	0	0
Safety Net Services	0	619,736	0	747,552	0	0	0	0
Transportation for Employment Independence Program	0	225,889	0	301,882	0	0	0	0
Opportunity Industrial Centers	0	23,817	0	31,840	0	0	0	0
Transitional Rental Assistance	0	262,823	0	351,235	0	0	0	0
Energy Assistance	0	47,867	0	98,964	0	0	0	0
Services for Persons with Disabilities	0	822,341	0	1,005,181	0	0	0	0
Child Care Services-TANF/CCDBG	0	12,985,891	0	12,985,891	0	0	0	0
Residences for Persons with AIDS	0	317,600	0	317,600	0	0	0	0
Nutrition Assistance	0	7,421	0	15,342	0	0	0	0
Housing/Homeless Services	0	419,677	0	1,037,189	0	0	0	0
Employment Opportunities	0	66,891	0	89,404	0	0	0	0
Human Resource Development	0	294,071	0	392,994	0	0	0	0
Child Day Care	0	971,082	0	1,297,711	0	0	0	0
Independent Living Centers	0	55,960	0	74,812	0	0	0	0
AIDS Drug Assistance	0	32,644	0	63,126	0	0	0	0
Disproportionate Share-Medical Emergency Assistance	0	1,512,642	0	1,512,642	0	0	0	0
School Readiness	0	430,592	0	75,441	0	0	0	0
Community Services	0	308,575	0	317,729	0	0	0	0
Child Day Care	0	237,893	0	491,838	0	0	0	0
Human Resource Development	0	1,786	0	3,693	0	0	0	0
Human Resource Development-Hispanic Programs	0	279	0	578	0	0	0	0
Teen Pregnancy Prevention	0	23,929	0	49,473	0	0	0	0
Services to the Elderly	0	1,132	0	2,341	0	0	0	0
Housing/Homeless Services	0	13,625	0	28,170	0	0	0	0
Total - General Fund	0	25,468,252	0	34,893,795	0	0	0	0

Provide FY01 Deficiency Funding - (B)

-(Governor) The Deficiency Bill, HB 6669, provides an additional \$15.3 million for the Medicaid program, \$10.97 for the ConnPACE program, and \$9.7 million to the SAGA program in order to meet program expenditures during FY01. This bill transfers a total of \$7.4 million from 18 DSS accounts and transfers the remaining funds from other state agencies.

Five areas of health care spending are contributing to the projected deficiency in the Medicaid account for FY 01. Those areas are follows: 1) hospital outpatient; 2) pharmacy; 3) home care; 4) psychiatric reinsurance claims under the managed care program; and 5) hardship rate increase for nursing homes.

The ConnPACE shortfall is primarily attributable to an unanticipated increase in enrolment due to changes in Medicare coverage. Certain Medicare HMOs will withdraw from the program at the end of 2000, leaving 51,185 Connecticut residents to decide whether to join another HMO or return to original fee-for-service Medicare. Many of these clients will find themselves without adequate pharmaceutical coverage on January 1, 2001. The Department of Social Services is engaging in an outreach effort to notify seniors about their options; ConnPACE enrolment for income eligible persons being one alternative. A projected 12,500 individuals will enroll in ConnPACE, contributing significantly to its estimated

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

deficiency. Additional financial pressure continues to be experienced due to the high rate of growth of pharmaceutical costs, which are increasing at 15 - 20% annually.

The SAGA program provides both cash and medical assistance to needy clients. The projected shortfall arises from the medical assistance portion of the program, which has seen continued higher than anticipated caseloads, as well as increased medical inflationary pressures that have also lead to the projected deficiencies in the Medicaid and ConnPACE accounts.

-(Committee) Same as Governor.

MEDAID

Medicaid Base Adjustments and Annualizations - (B)

Medicaid health services provide a wide array of programs including hospital and physician services as well as vision, dental, pharmacy, transportation, home health care, and clinic services. Medicaid eligibility is based upon income requirements and, in some cases, asset limits. It provides services for individuals in low-income families, pregnant women, the aged, the blind, the disabled, and children under 21 years of age. Services have historically been paid for on a fee-for-service basis. However, significant portions of clients served are currently enrolled in private managed care health plans under contract with the Department of Social Services. Within federal parameters, the state establishes reimbursement rates for all types of services. Utilization is a function of the number of eligible recipients actually using particular health care services and may vary over time.

-(Governor) The Governor recommends several base adjustments and annualizations for Medicaid expenditures totaling \$43.4 million in FY02 and \$47.2 million in FY03. The most significant adjustment is the addition of \$41.9 million needed to replace funds that had been carried forward from FY99 surplus to pay for Medicaid managed care contracts. Funds were used from the FY99 surplus for expenditures in both FY00 and FY01. Use of current surplus to fund Medicaid was not recommended to continue thus requiring a base adjustment for FY02.

In addition, funds are recommended for the annualization of court ordered durable medical equipment and caseload increases for personal care assistance.

-(Committee) Same as Governor.

Medicaid	0	43,425,406	0	47,225,129	0	0	0	0
Total - General Fund	0	43,425,406	0	47,225,129	0	0	0	0

Medicaid - Hospital Changes - (B)

Medicaid provides fee-for-service payments for hospital services to 70,000 elderly and disabled clients. Expenditures for FY01 for Medicaid hospital services are estimated at \$192 million.

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

In FY01 the state revised its Medicaid plan to allow disabled working individuals to enter employment without jeopardizing their medical benefits. Disabled individuals, as defined in the Social Security Act, could earn up to \$75,000 and still retain Medicaid benefits. Those earning over 200% of the poverty level would be required to contribute a co-pay not to exceed 7.5% of their gross family income. There would also be an asset limit of \$10,000 for individuals and \$15,000 for married couples. Funds for up to 1,000 individuals were provided in FY01 for partial year costs.

-(Governor) The Governor recommends no general increase for hospital rates in FY02 or FY03. However, rates will increase dramatically as a result of the proposed restructuring of the Disproportionate Share program (see narrative on *Restructuring Disproportionate Share and Elimination of the Uncompensated Care Pool*). Adjustments for increases in service volume of 2 percent in FY02 (\$3.6 million) and 2 percent in FY03 (\$4.6 million) have been added. The annualization of costs related to the implementation of Medicaid for the working disabled is \$3.4 million in FY02 and \$1.2 million in FY03. Rates for free-standing psychiatric hospitals are recommended to be increased by 2.7 percent at a cost of \$228,000.

-(Committee) Same as Governor.

Medicaid	0	7,284,163	0	13,350,156	0	0	0	0
Total - General Fund	0	7,284,163	0	13,350,156	0	0	0	0

Medicaid - Nursing Homes - (B)

Connecticut's Medicaid program provides significant funding for nursing home services primarily to the elderly population that meets established income and asset eligibility criteria. Nursing facilities provide a full range of services such as health, rehabilitation, social, nutrition, and housing services for a single per diem rate. Care is provided in facilities licensed as either: 1) chronic and convalescent homes (CCH); or 2) rest home with nursing services (RHNS). Total nursing home expenditures are based upon the number of clients served multiplied by the rate charged by each facility for the services provided. There are currently 20,000 Medicaid nursing home clients. It is estimated that the Medicaid expenditure for FY01 will be \$983 million, excluding \$206 million in income from residents that is applied to their cost of care.

-(Governor) The Governor recommends adding a rate increase of \$24.2 million for FY02 (2.5 percent) and an additional \$19.9 million (2 percent) in FY03 for per diem rates. The Governor has also recommended several cost saving initiatives. Savings of \$2.5 million annually is attributed to changes in the treatment of assets of Medicaid clients by probate courts (see narrative on *Implement Medicaid Equity*). In FY03, \$7.2 million in projected savings is expected to occur as a result of a change in the transfer of assets provision applied to Medicaid eligible clients (see narrative on *Transfer of Assets*).

Also, savings of \$2.5 million is estimated to occur as a result of requiring all nursing home beds to be licensed both as Medicaid and Medicare beds. This

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

change will no longer allow patients to waive Medicare status to keep a certain Medicaid bed available until they return to the facility after a temporary leave.

Finally, the Governor significantly restricts funds that currently allow DSS to provide interim rate increases for nursing homes in financial distress. It is anticipated that a number of facilities may have to close due to financial hardship and the inability of the state to afford additional rate hikes for individual homes. It is further recommended that the current moratorium on the construction of new nursing home beds, set to expire in 2002, be extended to 2007.

-(Committee) In addition to the changes proposed by the Governor, the committee provides \$7 million in FY02 and \$14 million in FY03 for increased staffing at nursing homes in the state. The Legislative Program Review and Investigations Committee found that the Department of Public Health regulations related to nursing staff levels have not been revised since 1980. The committee recommended that the levels be revised to those minimum standards found in a recent federal Health Care Financing Authority's (HCFA) study on national nursing home staffing ratios. The study by HCFA found a strong association between nursing staff levels and quality of resident care. The HCFA study is based on the most comprehensive and defensible research to date. The funds provided by the committee would increase the current regulatory minimum nursing-staff-per-resident-day ratio from 1.9 hours per resident day to 2.75 hours per resident day. This would result in an estimated staff to patient ratio of 1:6 on the day shift, 1:9 on the evening shift, and 1:18 on the night shift. The current DPH standards result in the following estimated staffing ratios: day shift – 1:8; evening shift – 1:13; and night shift – 1:24. The funding assumes that these staffing levels will go into effect January 1, 2002. The committee changes also include more recent cost and caseload estimates.

Medicaid	0	27,595,229	0	53,538,754	0	17,000,000	0	14,000,000
Total - General Fund	0	27,595,229	0	53,538,754	0	17,000,000	0	14,000,000

Medicaid - Program Initiatives - (B)

DSS has an ongoing fraud detection and third party liability collection program to recoup funds for the Medicaid program.

-(Governor) The Governor recommends that DSS increase its fraud detection and third party collection efforts. The governor has proposed the use of \$2.5 million from FY01 surplus to be used for a state-of-art decision support system intended to improve management. This initiative, along with other fraud detection efforts, is estimated to save \$4.5 million in FY02 and \$12.2 million in FY03.

-(Committee) Same as Governor.

Medicaid	0	-4,468,221	0	-16,668,221	0	0	0	0
Total - General Fund	0	-4,468,221	0	-16,668,221	0	0	0	0

Medicaid - Provider Rate Changes - (B)

Besides hospital, pharmacy, nursing home, and home care services, Medicaid provides a full array of other health care services including physician, dental,

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

durable medical equipment, and transportation. Medicaid also provides specialized services in the areas of long-term care for the mentally retarded and acute care for chronic diseases. In the FY01 budget, physicians were specifically targeted to receive an increase of 2 percent at a cost of \$2.4 million to compensate for the loss of state reimbursement for dual eligible (Medicare/Medicaid) clients. This legislative provision **was not implemented** by the DSS and physicians did not receive any portion of the budgeted \$2.4 million.

-(Governor) The Governor recommends the following rate increases be provided for these services in FY02: 1) physicians – 2.7 percent; 2) dental – 2.7 percent; 3) durable medical equipment – 2 percent; 4) other practitioners – 2.7 percent; and 5) transportation services – 2.3 percent. Funds totaling \$4.4 million are added in FY02 for these rate increases and an additional \$2.8 million is added for increases in intensity of service.

Rates for federally-qualified health centers have been increased to 100 percent of the allowable costs incurred by the facilities as required by federal law. Additional funds of \$1.5 million are added in FY02 and \$2.6 million are added in FY03 to comply with the federal requirement. It should also be noted that the Governor also recommends a reduction in grants of \$1.3 million to the health centers under the Department of Public Health.

-(Committee) In addition to the changes proposed by the Governor, the committee provides \$5.2 million to allow for payments to physicians who care for clients covered by both Medicare and Medicaid (dual eligible clients). Prior to FY99, payments to physicians caring for dual eligible clients received a Medicaid payment that covered the deductibles and co-payments normally paid by Medicare clients who pay their own premiums. For dual-eligible clients, the state purchases the Medicare Part B premium on behalf of the individual to cover physician services. In 1991 the state was given the authority to limit the payment for these Medicare services to the Medicaid rate. The state exercised that authority in FY99 and budgeted significant Medicaid savings by eliminating the payment for deductibles and co-payments for state clients. The committee restores the funding for Medicare co-payments and deductibles to physicians at a cost to Medicaid of \$5.2 million in FY02.

Medicaid	0	13,350,909	0	25,774,993	0	5,200,000	0	5,200,000
Total - General Fund	0	13,350,909	0	25,774,993	0	5,200,000	0	5,200,000

Medicaid - Asset Treatment Equity - (B)

The Department of Social Services seeks to obtain the assets of Medicaid eligible clients to defray the initial and ongoing cost of services. Generally, the client must spend-down their assets prior to the agency paying for care. By regulation, the department is able to examine the past three years of a client's financial history to determine if any assets have been transferred to other parties that could be used to pay for care. Currently, Probate Courts have the authority to transfer a couple's assets to the spouse of a Medicaid applicant. In addition, Probate Courts only

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
notify the department of the identity of deceased former recipients.								
<p>-(Governor) The Governor recommends several changes regarding the treatment of assets, including requiring the Probate Court to notify DSS of all proceedings in which DSS has an interest, including the identity of any deceased person who was a recipient of assistance or the spouse of such a recipient. The Governor further recommends that Probate Courts be limited in their ability to deviate from established Medicaid regulations on transfer of assets. It is estimated that these changes will save the Medicaid program approximately \$2.5 million annually. (This change was included in the FY01 Budget Revisions but the legislature subsequently did not pass legislation necessary to implement the savings.)</p> <p>-(Committee) Same as Governor.</p>								
Medicaid	0	-2,500,000	0	-2,500,000	0	0	0	0
Total - General Fund	0	-2,500,000	0	-2,500,000	0	0	0	0
<p>Medicaid - Transfer of Assets - (B) Currently, the transfer of asset policy under the Medicaid program provides a 36-month look back period (60 month if a trust has been established). When an individual has transferred an asset improperly, DSS establishes a penalty period that commences at the time of the transfer.</p> <p>-(Governor) The Governor recommends beginning the penalty period for an improper asset transfer at the time of actual Medicaid eligibility, rather than the time of the improper transfer. By imposing the penalty at the later date, DSS would avoid Medicaid expenditures for the entire penalty period. A federal waiver would be required to implement this change. This new policy is expected to save \$7.2 million in FY03.</p> <p>-(Committee) Same as Governor.</p>								
Medicaid	0	0	0	-7,200,000	0	0	0	0
Total - General Fund	0	0	0	-7,200,000	0	0	0	0
<p>Medicaid - Breast and Cervical Cancer Prevention Initiatives - (B) During the 2000 session, the legislature allowed DSS to submit a Medicaid state plan amendment to allow the state to provide enhanced services for the screening and detection of breast and cervical cancer. Uninsured women under the age of 65 who have been diagnosed with breast or cervical cancer and are in need of treatment are now eligible to receive full Medicaid services regardless of income or assets.</p> <p>-(Governor) The Governor recommends adding \$400,000 in each year of the biennium to fund the breast and cervical cancer prevention and treatment program.</p> <p>-(Committee) Same as Governor.</p>								
Medicaid	0	400,000	0	400,000	0	0	0	0
Total - General Fund	0	400,000	0	400,000	0	0	0	0

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Restructure Medicare Part B Premium Payment - (B)

Certain DSS clients are eligible for both Medicaid and Medicare Part B coverage. In such instances, DSS pays the federal government approximately \$45 per month per client for Medicare Part-B. This allows the client to receive services under the federally funded Medicare program rather than under the joint state-federally funded Medicaid program. This results in substantial savings in health care costs to the state.

-(Committee) The committee recommends paying the Medicare Part B premiums through a revenue offset. Rather than making monthly Medicare payments to the federal government, the federal government will deduct the monthly premium cost from the state's monthly Medicaid revenue. This will eliminate \$38 million in Medicaid appropriations annually, with a concurrent decrease in federal Medicaid revenue.

Medicaid	0	0	0	0	0	-38,000,000	0	-38,000,000
Total - General Fund	0	0	0	0	0	-38,000,000	0	-38,000,000

Fund New Katie Beckett Slots - (B)

The model 2176 Medicaid waiver (Katie Beckett) provides community-based health services under the Medicaid program to children with special needs. The waiver requires that the cost of services be less than or equal to those that would be required if a child's care would necessitate placement in a residential facility. The state currently has 125 funded slots, although 200 are authorized.

-(Committee) The committee provides an additional \$1.3 million in each year to fund an additional 50 of the 75 Katie Beckett waiver slots that were previously authorized by the legislature.

Medicaid	0	0	0	0	0	1,288,333	0	1,288,333
Total - General Fund	0	0	0	0	0	1,288,333	0	1,288,333

Provide FY01 Surplus Funds to Implement Medicaid Rehab Option - (B)

Medicaid is the state-federal program providing medical services to eligible individuals. Connecticut receives matching federal funds at a rate of 50 percent of the full amount spent for health services covered by the state's plan. The federal government requires states to offer certain mandatory services while the state can choose to provide an array of optional services such as vision, dental, and transportation. While Connecticut offers most of the allowed services, it does not offer adult rehabilitation services to eligible clients.

The state does provide services for adults with serious mental illness through grant payments to providers contracting with the Department of Mental Health and Addiction Services (DMHAS). Most of these funds are not being billed to Medicaid and thus not eligible for federal reimbursement. It is estimated that a significant portion of these funds would be federally reimbursable if the state included the adult rehabilitation option in its Medicaid plan. In addition there could be an expansion of mental health services if federal funds became available as a result of state

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
funds that have already been appropriated. Services normally provided include social and vocational rehabilitation, residential support, case management, psychiatric, outpatient, clinical, and inpatient hospitalization.								
-(Committee) The committee provides \$500,000 for DSS as start-up funding to begin the expansion of the Medicaid plan into adult rehabilitation services. In addition, \$650,000 is provided in the DMHAS budget to assist DSS in developing this option. The department will be required to amend its state Medicaid plan and establish a program to enroll Medicaid eligible clients in need of rehabilitative services. Funds are provided for the actuarial services and technical assistance needed to develop provider certification and program costs. The department shall begin to implement the program after presenting an expansion plan to the appropriations and human services committees outlining the costs and services to be provided, as well as an estimate of the federal revenues that will be received.								
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	500,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	500,000	0	0

Provide FY01 Surplus for Employee Health Insurance Subsidy Study - (B)

-(Committee) The committee provides \$500,000 from the FY01 surplus to allow the department to study the implementation of a state funded employee health insurance subsidy for low-income families.

Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	500,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	500,000	0	0

MEDICAID AND RELATED MEDICAL PROGRAMS

Update ABI/TBI Funding - (B)

-(Governor) The Governor recommends providing an additional \$7.3 million in FY02 and \$11.6 million in FY03 to reflect updated costs for ABI/TBI Medicaid services. This update also reflects the consolidation of funding from the Services for Persons with Disabilities and Traumatic Brain Injury accounts.

The Governor recommends eliminating \$73,877 in Funding under the Services for Persons with Disabilities account and the Traumatic Brain Injury account to reflect the provision of services under the Medicaid ABI Waiver.

-(Committee) Same as Governor.

Medicaid	0	7,323,110	0	11,562,502	0	0	0	0
Services for Persons with Disabilities	0	-17,175	0	-17,175	0	0	0	0
Traumatic Brain Injury	0	-56,702	0	-56,702	0	0	0	0
Total - General Fund	0	7,249,233	0	11,488,625	0	0	0	0

HUSKY Cost and Caseload Update - (B)

The HUSKY program provides medical benefits for all children whose family income is between 185% to 300% of the federal poverty level (HUSKY B). Those

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

families with incomes under 185% of the poverty level are funded through the Medicaid account (HUSKY A), while those over this level are funded through the separate HUSKY account. Some enrollees in this program may be required to make a co-payment for services, based on a sliding fee scale. Coverage under HUSKY B is available to children over 300% of the poverty limit based upon full payment by the client of the health insurance premiums and co-payments.

The HUSKY program currently serves 225,000 children and adults through the purchase of health plans primarily from four HMO providers. The current average managed care capitation rate for HUSKY is \$150 per member per month.

In FY01 the legislature appropriated funds to cover parents of children enrolled in the HUSKY A program. Parents with incomes up to 150% of the federal poverty level are eligible to participate in the HUSKY program. Funding for six months was provided for the program, and enrolment began for adults on January 1, 2001. In the first month of eligibility, over 4,000 parents were signed up for health benefits.

-(Governor) In the Medicaid portion of the HUSKY program, the Governor recommends adding \$22.1 million to the current expenditure for the HUSKY A plan to serve an estimated 18,000 adult clients by the end of FY02. Another \$6 million is provided in FY03 to fully fund the caseload. In addition, the Governor provides for two significant rate increases. One is a general 4 percent rate increase for services in FY02 and a 3 percent increase for FY03 totaling \$11 million and \$15.5 million, respectively. The second increase involves the restructuring of Disproportionate Share payments to hospitals and the elimination of the uncompensated care pool (see narrative on *Restructuring Disproportionate Share and Elimination of the Uncompensated Care Pool*). The Governor has recommended that the managed care organizations providing HUSKY health plans receive \$25.4 million to be passed along to hospitals in the form of rate increases for inpatient and outpatient services. In addition to these rate increases, there are volume adjustments made in each fiscal year. In FY03, savings of \$3.5 million have been recommended as a result of lower psychiatric reinsurance expenses due to the expansion of residential treatment beds in the Department of Children and Families.

The Governor also recommends providing \$6 million in FY02 and \$9.1 million in FY03 to reflect increased caseloads under the HUSKY B program.

-(Committee) Same as Governor.

HUSKY Program	0	6,000,000	0	9,100,000	0	0	0	0
Medicaid	0	37,395,220	0	71,931,203	0	0	0	0
Total - General Fund	0	43,395,220	0	81,031,203	0	0	0	0

Implement Pharmacy Changes - (B)

The Medicaid, ConnPACE, and State Administered General Assistance (SAGA) programs provide pharmacy services for eligible recipients. With the exception of clients enrolled in managed care plans, the department, on a fee-for-service basis, pays for

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

prescriptions. The department monitors the utilization of drugs through a program that provides for: 1) prospective review of certain pharmacy claims; 2) on-line processing using an approved electronic claims management system; and 3) retrospective review of drug usage. Both the 1995-97 Biennial Budget Revision and the 1997-99 Biennial Budget included significant savings for pharmacy services in each program. The budgeted savings were to be achieved by implementing the following changes in the pharmacy program: 1) contracting out prescription services through a competitive bidding process; 2) implementation of an on-line utilization review system; 3) limiting the use of H2 Antagonists; 4) implementing the collection of pharmaceutical rebates on 'J' coded drugs; 5) increasing accessibility of over-the-counter drugs; 6) establishing a nursing home drug return program; 7) limiting first-time maintenance medications; and 8) implementing a one dollar co-payment for certain clients. These measures have either failed to control pharmacy costs or were never implemented.

Therefore, the 1999-2001 Biennial Budget incorporated new savings initiatives that amounted to \$18 million in FY 00. The budget recommended that the savings be achieved through the use of: 1) a pharmacy benefits manager; 2) volume purchasing from drug manufacturers; 3) the use of managed care reimbursement policies; and 4) mail order purchasing. These methods were to be sought through a single contracted entity that had access to favorable prescription drug pricing. This contract was not executed and no savings were achieved in FY 00.

Total pharmacy costs in all programs (Medicaid, ConnPACE, and SAGA) are expected to reach \$300 million by the end of FY 01 (net of manufacturers' rebates). The programs distribute over 5 million prescriptions a year and serve 164,000 poor, elderly and disabled clients. The average cost for a Medicaid prescription is \$56.48 and the average number of prescription per client is 35 per year resulting in a yearly client cost of \$1,955. Where other health service categories have increase by 2 to 5 percent, pharmacy has increased by 14 to 18 percent each year.

In the 2000 session of the legislature, a pharmacy benefits management and audit unit was established within DSS to manage the fee-for-service prescription programs. The legislature provided \$510,000 for 12 positions in Personal Services to staff the unit. The unit was charged with the responsibility for achieving recommended savings in the pharmacy program as follows: 1) \$5.5 million for reductions in unused and returned drugs provided to nursing homes; 2) \$7.2 million for mandated generic drug substitutions; and 3) \$2.5 million for prior authorization for certain drugs.

-(Governor) The Governor recommends that pharmacy savings of \$8.9 million be achieved through the implementation of the following three changes: 1) allow DSS to set maximum acquisition cost controls in pharmacy purchases (\$2.5 million); 2) reduce the retail pharmacy dispensing fees by 50 cents to \$3.60 per prescription (\$2.8 million); and 3) reduce the cost of

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

drugs by paying pharmacies the average wholesale price (AWP) minus 13 percent instead of the current AWP minus 12 percent (\$3.6 million). These program changes effect payments made in Medicaid, SAGA, and ConnPACE.

These cost saving efforts are offset by substantial increases in the cost and use of prescription drugs. Medicaid pharmacy requires an additional \$28.4 million in FY02 and \$38.6 in FY03 to fully cover currently eligible clients (see *ConnPACE Cost and Caseload Update* for increased funding requirements).

-(Committee) The committee concurs with all of the Governor's recommendations except for the \$.50 reduction in the retail dispensing fee. A total of \$2.8 million is provided to reflect the maintenance of the current \$4.10 dispensing fee.

Medicaid	0	28,425,510	0	66,964,569	0	2,800,000	0	2,800,000
Connecticut Pharmaceutical Assistance Contract to the Elderly	0	-1,500,000	0	-2,100,000	0	0	0	0
State Administered General Assistance	0	-600,000	0	-800,000	0	0	0	0
Total - General Fund	0	26,325,510	0	64,064,569	0	2,800,000	0	2,800,000

Connecticut Home Care Cost and Caseload Update - (B)

The Connecticut Home Care program assists the frail elderly in avoiding unnecessary or premature nursing home care by making available a variety of community-based services. The program is not an entitlement; rather the number of clients served is based upon the funding available. The services covered include home-health aides, visiting nurses, homemaker aides, adult day care, personal assistance, and meals on wheels. The home care program is funded from two separate accounts: the Medicaid account, which is matched by federal funds; and the Connecticut Home Care Program, which is funded solely by the state. The funds used to cover services depend upon client eligibility.

Currently there are 7,860 clients enrolled in the Medicaid portion of the Connecticut Home Care Program and 2,715 clients enrolled in the state-funded program. At 10,575 clients, this represents the highest number enrolled in the program's history. The program does not have a waiting list and the level of clients grew by 5 percent from 2000 to 2001. In addition, legislation passed in 2000 expanded the state funded component of the Connecticut Home Care program, allowing individuals with incomes over 300% of the SSI income level to be eligible for the program as long as they apply a portion of their income to their care and their income does not exceed the annual cost for nursing home care.

-(Governor) The Governor recommends providing \$1.8 million in FY02 and \$4.2 million in FY03 for the state funded portion of the Connecticut Home Care program to reflect increased cost and caseload estimates.

The Governor recommends additional funds of \$13.7 million in FY02 and \$21.4 million in FY03 for the Medicaid funded portion of the program. For FY02 funds are added to allow for caseload increases (\$9.5

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

million) and a 2 percent increase in provider rates (\$4.2 million). For FY03, additional funds are added for increasing caseload (\$10.5 million), a 1.5 percent increase (\$6.4 million) in provider reimbursement and \$4.5 million for the expansion assisted living services.

-(Committee) The committee concurs with the Governor's proposals. In addition, the committee provides \$1.4 million to enhance the rates provided to all home health care providers. This will provide a 1.2% rate increase in addition to the 2% increased recommended by the Governor. The committee also provides an additional \$500,000 to provide a 2.3% increase for adult day care rates. The state currently spends approximately \$22 million annually on adult day care rates.

Medicaid	0	13,697,046	0	35,103,002	0	1,300,000	0	1,300,000
Connecticut Home Care Program	0	1,813,912	0	4,193,912	0	600,000	0	600,000
Total - General Fund	0	15,510,958	0	39,296,914	0	1,900,000	0	1,900,000

Nursing Home Oversight - (B)

Connecticut currently has 36 nursing homes in either bankruptcy or operating under state receivership.

-(Governor) The Governor recommends enhancing the oversight of nursing home finances. To this end, he recommends hiring six additional staff at DSS to review the financial condition of certain facilities and to ensure that the nursing home industry will maintain fiscal stability. These staff and their associated resources will cost \$695,000 in each year of the biennium. Along with the additional staff, the Governor has proposed to:

- 1) Require a certificate of need review for facility sales including both real property and operating company transactions;
- 2) Require all future ownership of homes to be restricted to a single entity, which must own the business, real estate and be the licensee;
- 3) Require semi-annual or quarterly submission of financial statements and audits when there is evidence of potential financial problems; and
- 4) Prohibit property owners who have extracted excessive rent from receiving payments when facilities are placed under state receivership.

-(Committee) Same as Governor.

Personal Services	6	319,000	6	319,000	0	0	0	0
Medicaid	0	376,000	0	376,000	0	0	0	0
Total - General Fund	6	695,000	6	695,000	0	0	0	0

Restructure Uncompensated Care Pool - (B)

The Disproportionate Share Hospital Program (DSH), otherwise known as the Uncompensated Care Pool, has two purposes. One is to compensate hospitals for the cost of providing inpatient and outpatient care to clients not paying the full cost of services. Those clients include individuals either insured by Medicaid, underinsured, or having no insurance coverage. The second purpose is to obtain federal reimbursement for that portion of the care that is not being funded directly by Medicaid. This is achieved by creating a state

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

payment to hospitals that can be claimed as Medicaid expenditures. Federal law requires state Medicaid programs to take into account the cost of providing hospital care to low-income patients when determining payment rates. This requirement is referred to as the "Medicaid disproportionate share" (DSH) hospital payment adjustment. This adjustment is applied to make up the difference between a hospital's costs for care and the amount reimbursed under the Medicaid program.

The DSH program in Connecticut is composed of two parts: one for private hospitals and one for public hospitals. Revenues are received from private hospitals through taxation and funds are then redistributed, through a legislative appropriation, according to a formula for each hospital's level of uncompensated care. For public hospitals, funds appropriated for indigent care are included as part of the state's Medicaid program to maximize federal reimbursement. The private hospital portion of the program is funded through a 5.75 percent sales tax on services and a \$207 million appropriation. The public portion is handled through an intergovernmental transfer of payments between two state agencies, the Department of Social Services, which administers the Medicaid program, and the Department of Mental Health and Addiction Services, which runs state psychiatric facilities.

Another way to reduce uncompensated care is to increase the inpatient and outpatient hospital rates paid by Medicaid. It is currently estimated that Connecticut has one of the lowest Medicaid rates in relation to hospital charges at 70 percent. The national average is around 85 percent of the actual hospital charges are paid by Medicaid.

-(Governor) The Governor recommends the elimination of the collection of sales tax on hospital services by the state for an estimated revenue loss of \$114 million. The Governor also recommends eliminating the \$207 million appropriation currently used to provide disproportionate share payments to hospitals paying the tax to be used for uncompensated care. It is further recommended that \$100 million be returned to hospitals in the form of increased rates for hospital services provided to Medicaid, General Assistance, and Department of Mental Health and Addiction Services (DMHAS) clients. The governor proposes the following distribution of funds for rate increases: 1) \$52.1 million for inpatient rates; 2) \$22.6 million for outpatient rates; and 3) \$25.4 million for rate increases to hospitals through managed care organizations contracted to provide health plans for HUSKY clients. By program, \$82.6 million has been allocated to Medicaid, \$14.7 million to general assistance, and \$2.7 million for DMHAS for a total of \$100 million. Generally, these funds are intended to provide a 52 percent increase to inpatient rates and a 35 percent increase for outpatient services.

-(Committee) In addition to the changes proposed by the Governor, the committee provides for an additional \$20 million rate increase for Medicaid and General Assistance inpatient and outpatient services. In

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

addition, the committee provides \$26 million from surplus for to establish a Hospital Finance Restructuring Fund. The \$26 million will be used to provide unrestricted grant payments to hospitals to make up the difference between the amount a hospital would have received in FY02 from the DSH payment, minus the estimated sales tax to be collected. A hospital negatively impacted by the elimination of the DSH payment will be held harmless after the first \$500,000 in loss and will receive a grant for the difference for one year.

There is also established in the Office of Health Care Access a Hospital Based Uncompensated Care grant program to provide funds for the uninsured.

HUSKY Program	0	890,000	0	890,000	0	182,939	0	182,939
Medicaid	0	81,710,000	0	81,710,000	0	16,795,478	0	16,795,478
Disproportionate Share-Medical Emergency Assistance	0	-207,000,000	0	-207,000,000	0	0	0	0
State Administered General Assistance	0	14,700,000	0	14,700,000	0	3,021,583	0	3,021,583
Total - General Fund	0	-109,700,000	0	-109,700,000	0	20,000,000	0	20,000,000
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	26,000,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	26,000,000	0	0

Provide FY01 Surplus Funds for MMIS Costs - (B)

-(Governor) The Governor recommends that surplus funds of \$5.5 million be provided to help the department begin the process of procuring a new master medical management information system (MMIS) and child care management information system. The Governor's budget estimate of the FY 01 surplus is \$501 million.

-(Committee) The committee provides only \$500,000 to help the department begin the process of procuring a new master medical management information system (MMIS) and child care management information system.

Carry Forward - FY 01 Surplus Appropriations	0	5,500,000	0	0	0	-5,000,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	5,500,000	0	0	0	-5,000,000	0	0

Provide FY01 Surplus Funds For Strike Contingency Fund - (B)

-(Governor) The Governor recommends providing \$5 million in FY01 surplus funds to cover any contingency planning costs of state agencies and nursing homes in anticipation of a nursing home strike this spring. These funds may also cover any increased costs incurred by state agencies due to such a strike.

-(Committee) The Committee does not provide for a strike contingency fund.

Carry Forward - FY 01 Surplus Appropriations	0	5,000,000	0	0	0	-5,000,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	5,000,000	0	0	0	-5,000,000	0	0

Provide FY01 Surplus Funds for Dental Services - (B)

-(Governor) The Governor recommends providing \$1 million in FY01 surplus funding to design and implement innovative approaches for the provision of dental service for low-income children and adults.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<p>-(Committee) The committee provides \$500,000 in FY01 surplus funding to design and implement innovative approaches for the provision of dental service for low-income children and adults.</p>								
Carry Forward - FY 01 Surplus Appropriations	0	1,000,000	0	0	0	-500,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	1,000,000	0	0	0	-500,000	0	0

Provide FY01 Surplus Funding for the Development of a Decision Support System - (B)

-(Governor) The Governor recommends providing \$2.5 million from the FY01 surplus to establish a state-of-the-art decision-making and fraud prevention data warehouse. It is expected that this system will lead to significant cost avoidances in the Medicaid program. The savings, anticipated to begin in FY03, is expected to total \$10 million and is reflected in the write-up "Medicaid - Program Initiatives".

-(Committee) The committee provides only \$2 million from the FY01 surplus to establish a state-of-the-art decision-making and fraud prevention data warehouse. This change is not expected to effect the projected savings from the project.

Information Technology Services	0	0	0	250,000	0	0	0	0
Total - General Fund	0	0	0	250,000	0	0	0	0
Carry Forward - FY 01 Surplus Appropriations	0	2,500,000	0	0	0	-500,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	2,500,000	0	0	0	-500,000	0	0

ConnPACE Cost and Caseload Update - (B)

The ConnPACE program helps eligible senior and disabled residents pay for covered prescription drugs, insulin, insulin syringes and needles. Program participants pay a \$12 co-payment for each prescription; the department pays the remainder of the cost. To be eligible for the program, a person must have an adjusted gross income for the previous year of less than \$15,100 if single, and less than \$18,100 if married. These income limits went into effect January 1, 2001 and will be indexed upward according to the Social Security cost-of-living increase each subsequent January 1st.

-(Governor) The Governor recommends an increase in funding of \$15.3 million in FY02 and \$23.6 million in FY03 to reflect more recent caseload and cost trends. Adoption of this recommendation, in conjunction with the \$1.5 million in FY02 and \$2.1 million in FY03 savings referred in the write-up entitled "Implement Pharmacy Changes", and the \$1.5 million transfer to the Information Technology Services account, will result in total funding for the ConnPACE program of \$58,085,086 in FY02 and \$65,768,137 in FY03. An estimated average monthly enrolment of 42,785 and 44,488 individuals will be served in FY02 and FY03, respectively.

-(Committee) Same as Governor.

Connecticut Pharmaceutical Assistance Contract to the Elderly	0	15,338,138	0	23,621,189	0	0	0	0
Total - General Fund	0	15,338,138	0	23,621,189	0	0	0	0

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Provide FY01 Surplus Funds for ConnPACE Expansion - (B)								
-(Governor) The Governor recommends providing \$2.4 million from the FY01 surplus as start-up funds for the implementation of the new ConnPACE Part B program. This program, passed during the 2000 legislative session, requires that the program be cost neutral to the state.								
-(Committee) The committee does not provide surplus funding for start-up costs for the ConnPACE Part B program. In its place, the committee provides \$20 million in FY01 surplus funds to expand the ConnPACE program to people with incomes up to 250% of the federal poverty level. It is expected that this expansion will be funded beyond FY02 through the currently proposed federal pharmaceutical assistance programs.								
Carry Forward - FY 01 Surplus Appropriations	0	2,400,000	0	0	0	17,600,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	2,400,000	0	0	0	17,600,000	0	0
SAGA Medical Rate Increase - (B)								
-(Governor) The Governor recommends an additional \$2.9 million in FY02 and \$5.2 million in FY03 for increased rates for medical providers under the State Administered General Assistance Program.								
-(Committee) Same as Governor.								
State Administered General Assistance	0	2,896,083	0	5,239,224	0	0	0	0
Total - General Fund	0	2,896,083	0	5,239,224	0	0	0	0
Restructure School Based Health Services - (B)								
The school-based Medicaid child health program reimburses local and regional school districts for health expenditures made for Medicaid eligible special education students. The program provides the opportunity for the towns and the state to share federal reimbursement for medical services provided to students who are Medicaid eligible. The state receives 40% of the total revenue and distributes the remaining 60% to the local and regional school districts.								
-(Governor) The Governor recommends changing the funding source for the school-based child health program. As the program is a pass through to the towns, the Governor recommends eliminating the appropriated grant account and simply passing through the same amount of revenue to towns. This will not change the level of funding for participating towns, only the manner in which the necessary funds are reflected in the budget. The remaining revenue that the state traditionally received will no longer be deposited as General Fund revenue but will go to offset Medicaid expenditures.								
-(Committee) Same as Governor.								
Medicaid	0	-5,700,000	0	-5,700,000	0	0	0	0
School Based Child Health	0	-8,600,000	0	-8,600,000	0	0	0	0
Total - General Fund	0	-14,300,000	0	-14,300,000	0	0	0	0

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Realign DMHAS DSH Funding - (B)

The Department of Mental Health and Addiction Services (DMHAS) currently receives \$112,640,000 in PS and OE funds from the Department of Social Services (DSS). These funds represent DMHAS institutional expenditures that are reimbursable under the federal Medicaid program. The federal Balanced Budget Act of 1997 capped the revenue available to Connecticut at a level lower than is represented in the current DSH claiming structure.

-(Governor) The Governor recommends that \$35 million in funding be transferred from the DSS DSH account to the DMHAS budget. An additional \$10 million is transferred to the Office of the State Comptroller's Fringe Benefit accounts. These transfers will more accurately reflect the revenue levels now available from the federal government.

-(Committee) Same as Governor.

DMHAS-Disproportionate Share	0	-45,065,000	0	-45,065,000	0	0	0	0
Total - General Fund	0	-45,065,000	0	-45,065,000	0	0	0	0

FINANCIAL ASSISTANCE**TFA Cost and Caseload Update - (B)**

The Temporary Family Assistance (TFA) program provides cash assistance to eligible low-income families. The TFA program limits assistance to 21 months for non-exempt cases, with possible 6-month extensions for good cause. Individuals in the TFA program are usually eligible for the health care services under the state's Medicaid program. As a result of a healthy economy and the state's welfare reform effort, the average monthly caseload under this (and its predecessor) program has declined from over 60,000 families in FY 95 to under 25,500 cases at the end of calendar year 2000.

-(Governor) The Governor recommends a reduction of \$7,169,668 in FY02 and \$11,152,357 in FY03 in the TFA programs to reflect updated cost and caseload estimates. The Governor's recommended expenditure level assumes that the average monthly caseload will continue to decline to under 22,700 during FY 03.

-(Committee) Same as Governor.

Temporary Assistance to Families - TANF	0	-7,169,668	0	-11,152,357	0	0	0	0
Total - General Fund	0	-7,169,668	0	-11,152,357	0	0	0	0

TFA Consumer Eligibility for SSI - (B)

-(Governor) The Governor recommends conducting an outreach effort to TFA recipients who are significantly disabled enough to qualify for federal SSI benefits. The department estimates that 1% of the current adult caseload could qualify for SSI. This will reduce state TFA costs, as federally supported benefits would replace the state supported benefit.

-(Committee) Same as Governor.

Temporary Assistance to Families - TANF	0	-145,404	0	-279,600	0	0	0	0
Total - General Fund	0	-145,404	0	-279,600	0	0	0	0

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Reduce Child Support Disregard - (B)

Under the current waiver, DSS provides a \$100 child support disregard in the calculation of TFA benefits. Because the state's research and demonstration waiver expires October 1, 2001, the state must start reimbursing the federal government up to \$50 on each case, in addition to passing through and disregarding the \$100 when calculating the client's TFA benefit.

-(Governor) The Governor recommends reducing the child support disregard from \$100 to \$50. This change brings the state into compliance with new federal rules.

-(Committee) Same as Governor.

Temporary Assistance to Families - TANF	0	-1,380,000	0	-1,700,000	0	0	0	0
Total - General Fund	0	-1,380,000	0	-1,700,000	0	0	0	0

Consolidate Accounts - (B)

In the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA), the federal government established state block grant programs for cash assistance and child care. These block grants replaced the previous matching grant structure of the AFDC program. Under PRWORA, Congress required state legislatures to appropriate, rather than just allocate, the new block grant dollars. Because of this requirement, the General Assembly established two individual accounts for both the Temporary Family Assistance program and the Child Care program. The two-account structure was established to indicate the appropriation of federal dollars in one account and the state maintenance of effort dollars in the other account. This structure was established to ensure that the state met the federal requirement that it appropriate the block grant funds and to allow the state to continually identify block grant funds.

-(Governor) The Governor recommends consolidating the accounts under the Temporary Family Assistance program and the Child Care program.

-(Committee) Same as Governor.

Temporary Assistance to Families	0	-24,043,043	0	-24,043,043	0	0	0	0
Temporary Assistance to Families - TANF	0	24,043,043	0	24,043,043	0	0	0	0
Child Care Services	0	-6,002,584	0	-6,002,584	0	0	0	0
Child Care Services-TANF/CCDBG	0	6,002,584	0	6,002,584	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Enhance TFA Employment Service Policies - (B)

Current sanctions for non-compliance with employment services are applied incrementally based upon the incidence of the sanction.

-(Governor) The Governor recommends enhanced sanctions if a non-exempt parent fails without good cause to comply with requirements by attending their initial Connecticut One-Stop employment services orientation and assessment appointment. The Governor also recommends increasing the first sanction to 25% of the payment standard, which will be in compliance with federal requirements. In addition, the Governor recommends implementing a case review process to ensure that a good faith effort is being made to comply with the employment service

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

requirements. These enhanced efforts are expected to lower program expenditures and caseloads, resulting in savings of \$2.7 million in FY02 and \$4 million in FY03.

-(Committee) Same as Governor.

Temporary Assistance to Families - TANF	0	-2,672,583	0	-3,953,911	0	0	0	0
Total - General Fund	0	-2,672,583	0	-3,953,911	0	0	0	0

Implement 60 Month Time Limit for TFA Benefits - (B)

Currently, recipients of TFA have a 21-month time limit for benefits, with the possibility of 6-month extensions if they meet certain requirements. There is no limit to the number of 6-month extensions that a beneficiary may receive. Under federal rules, the Temporary Assistance to Needy Families (TANF) program has an absolute 60-month time limit on federally supported benefits.

-(Governor) The Governor recommends implementing an absolute 60-month limit on TFA benefits in order to adhere to the principles of the federal requirements. Certain families will be allowed to receive benefits beyond the 60-month limit if they have been subject to domestic violence or encounter other hardships. This change is expected to save \$262,576 in FY02 and \$340,604 in FY03.

-(Committee) Same as Governor.

Temporary Assistance to Families - TANF	0	-262,576	0	-340,604	0	0	0	0
Total - General Fund	0	-262,576	0	-340,604	0	0	0	0

Apply TFA Rules Consistently - (B)

Prior to the passage of welfare reform by the federal government, Connecticut had received waivers of federal rules to operate portions of its Aid to Families with Dependent Children (AFDC) program. Even after implementation of the TFA program in Connecticut, certain portions of the state's program continued to operate under the rules of the waiver.

-(Governor) The Governor recommends that DSS modify its processes to ensure that TFA income rules are applied consistently across all categories of recipients. This effort is expected to save \$442,260 in FY02 and \$565,200 in FY03.

-(Committee) Same as Governor.

Temporary Assistance to Families - TANF	0	-442,260	0	-565,200	0	0	0	0
Total - General Fund	0	-442,260	0	-565,200	0	0	0	0

Implement Limit of Two TFA Extensions - (B)

Currently, non-exempt recipients of TFA have a 21-month time limit for benefits, with the possibility of 6-month extensions if they meet certain requirements. There is no limit to the number of 6-month extensions that a beneficiary may receive. As of November 2000, 39% of the time-limited caseload was in extension.

-(Governor) The Governor recommends implementing a limit of two six-month extensions for the majority of non-exempt TFA clients. This change is expected to eliminate approximately 2,400 cases in

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY02, assuming implementation of the policy October 1, 2001. Savings are projected to be \$9.4 million in FY02 and \$11.6 million in FY03.								
-(Committee) The committee does not implement the Governor's recommendation of a two-extension limit. In its place the committee implements a two-tier step down system for those TFA clients who are in extension. First, clients with the immediate ability to increase their earnings could choose to enter extension Tier I. Clients who enter this tier will not have any limits placed on the amount that they can earn without losing their state cash benefit. However, once the client enters this tier, their TFA benefit will be reduced to zero in monthly steps over the course of one year.								
Those clients who choose not to enter Tier I will default into extension Tier II. For these clients, the monthly cash benefit will decline incrementally until they reach the federally required 60-month limit.								
The committee estimates that these initiatives will save \$4.7 million in FY02 and \$14.4 million in FY03.								
Temporary Assistance to Families - TANF	0	-9,360,404	0	-11,638,761	0	4,630,094	0	-2,727,177
Total - General Fund	0	-9,360,404	0	-11,638,761	0	4,630,094	0	-2,727,177

Provide FY01 Surplus Funding for TFA Employment Services - (B)

-(Committee) The committee provides \$2 million from the FY01 surplus to fund supportive employment efforts for those time limited TFA clients who have lower than high school educational achievement. The committee provides that \$1 million of these funds shall be available in each year of the biennium.

Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	2,000,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	2,000,000	0	0

Modify TFA Diversion Program - (B)

The TFA Diversion Program provides certain TFA applicants with an "up front" grant to address an immediate need of the family. By providing this up front grant, some families may be able to avoid dependence on long-term cash assistance program.

-(Governor) The Governor recommends modifying the TFA diversion program in order to ease administration and increase program utilization. There are only approximately 6 families participating in the program per month. The Governor estimates that these enhanced efforts will save \$52,920 in FY02 and \$302,328 in FY03.

-(Committee) Same as Governor.

Temporary Assistance to Families - TANF	0	-52,920	0	-302,328	0	0	0	0
Total - General Fund	0	-52,920	0	-302,328	0	0	0	0

Adjust Standards Increases - (B)

The current services estimates for both FY 02 and FY 03 include a statutorily mandated standards increase for the following cash assistance programs: Old Age Assistance, Aid to the Blind, Aid to the Disabled, Temporary Family Assistance, and State Administered

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

General Assistance. This standards increase totals \$6,639,549 in FY 00 and \$11,836,856 in FY 01.

-(Governor) The Governor recommends eliminating these standards increases in each year of the biennium. Although included in statute, this increase has been specifically eliminated each fiscal year since FY 92. As the Governor both grants the increases as an expenditure update and eliminates them as a policy change, no monetary change is reflected in the accounts.

-(Committee) Same as Governor.

Close Intake to Programs for Non-Citizens - (B)

The 1996 federal welfare reform law, the Personal Responsibility and Work Opportunity Reconciliation Act (P.L. 104-193), eliminated food stamp and TANF eligibility for many non-citizens. The state continued to provide these services to qualified aliens, as defined under PRWORA, through state funded appropriations.

-(Governor) The Governor recommends closing intake to the state funded TFA and Food Stamp programs for non-citizens. This change is expected to save \$1.1 million in FY02 and \$1.7 million in FY03.

-(Committee) Same as Governor.

State Food Stamp Supplement	0	-900,000	0	-1,300,000	0	0	0	0
Temporary Assistance to Families - TANF	0	-230,000	0	-364,000	0	0	0	0
Total - General Fund	0	-1,130,000	0	-1,664,000	0	0	0	0

Provide FY01 Surplus Funds for Enhanced TANF Claiming - (B)

-(Governor) The Governor recommends providing \$1 million from the FY01 surplus to enhance TANF claiming in the Departments of Education and Children and Families. These funds would be used for information technology changes needed to gather data required by the federal government.

-(Committee) The committee does not provide surplus funding for this purpose.

Carry Forward - FY 01 Surplus Appropriations	0	1,000,000	0	0	0	-1,000,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	1,000,000	0	0	0	-1,000,000	0	0

Provide FY01 Surplus Funds for Services to TANF Eligible Clients - (B)

-(Governor) The Governor recommends providing \$2.6 million from the FY01 surplus due to the receipt of additional federal revenue through the High Performance Bonus. These funds will be used to continue the funding of the Teen Pregnancy Prevention and the Fatherhood Initiative that were funded through the surplus in the last legislative session. Additionally, funds will be provided for the Good News Garage initiative. This last initiative is a vehicle donation program that rehabilitates cars for public assistance clients, and has been successfully implemented in Vermont and Massachusetts. For more information, see www.goodnewsgarage.com.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
-(Committee) Same as Governor.								
Carry Forward - FY 01 Surplus Appropriations	0	2,600,000	0	0	0	0	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	2,600,000	0	0	0	0	0	0

Supplemental Assistance Cost and Caseload Update - (B)

Supplemental Assistance consists of Old Age Assistance, Aid to the Blind and Aid to the Disabled. These programs provide monthly financial assistance to low income individuals. The supplemental assistance programs are entirely state funded, but operate under both state and federal guidelines. In order to receive benefits, an individual must have another source of income to supplement, such as the federal Social Security, Supplemental Security Income, or Veteran's Benefits. All recipients are automatically eligible for health care benefits under the state Medicaid program.

-(Governor) The Governor recommends an additional \$819,897 in FY 02 and \$2,413,176 in FY 03 for the Supplemental Assistance programs. These changes include increases for anticipated cost and caseload changes and rate increases, as well as a reduction in benefits to offset federal benefits increases.

-(Committee) The committee provides an additional \$3,747,618 in FY 02 and \$7,581,902 in FY 03 for the Supplemental Assistance programs. These changes include increases for anticipated cost and caseload changes and rate increases. However, the committee provides funding so that recipients will not have their state benefit reduced when they receive a cost of living adjustment in their federal benefit.

Old Age Assistance	0	265,231	0	1,557,710	0	984,345	0	1,737,554
Aid to the Blind	0	19,928	0	82,972	0	18,417	0	32,870
Aid to the Disabled	0	534,738	0	772,494	0	1,924,959	0	3,398,302
Total - General Fund	0	819,897	0	2,413,176	0	2,927,721	0	5,168,726

Augment Residential Care Home Rates - (B)

-(Committee) A total of \$500,000 is provided to augment the rates paid for services at residential care homes. These facilities primarily serve the Old Age Assistance population.

Old Age Assistance	0	0	0	0	0	500,000	0	500,000
Total - General Fund	0	0	0	0	0	500,000	0	500,000

SAGA Cost and Caseload Update - (B)

The State Administered General Assistance (SAGA) program provides cash and medical benefits for eligible low-income individuals.

-(Governor) The Governor recommends an additional \$5 million in FY02 and \$9.8 million in FY03 for the SAGA program to reflect updated cost and caseload estimates. These increased costs are primarily due to higher than budgeted medical expenditures and the failure to achieve budgeted pharmaceutical savings.

-(Committee) Same as Governor.

State Administered General Assistance	0	5,010,203	0	9,817,243	0	0	0	0
Total - General Fund	0	5,010,203	0	9,817,243	0	0	0	0

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Restructure the SAGA Program - (B)

SAGA provides financial and medical benefits to low-income families and individuals. Behavioral health services are funded separately under the budget of the Department of Mental Health and Addiction Services (DMHAS).

-(Governor) The Governor recommends several changes to the SAGA program that reduce the overall DSS appropriation by \$11.9 million in FY02 and \$12.7 million in FY03. First, the Governor recommends eliminating the entitlement status of the program. In effect, this would close the intake of the program when the department projects that there may be insufficient funds for the fiscal year. Second, the Governor recommends eliminating coverage under the medical services portion of the program for home health, vision, other practitioner, durable medical equipment and non-emergency medical transportation.

These changes result in additional reductions of \$4 million in each year of the biennium in the DMHAS funded portion of SAGA.

-(Committee) The Department of Social Services is estimated to spend \$101.5 million for health care services for General Assistance clients in FY02. Those clients receive services comparable to Medicaid services and include hospital care, physician services, dental, pharmacy, and transportation. Behavioral health services are provided to clients through a case managed system funded separately in the Department of Mental Health and Addiction Services. However, General Assistance health services are still provided on an unmanaged fee-for-service basis. Hospitals, physicians, and pharmacy fees account for 82 percent of the total General Assistance expenditures.

The committee recommends that General Assistance health care be delivered through primary care case management system (PCCM). The client would be enrolled in a primary care organization that would be responsible for providing, arranging, and authorizing all covered non-emergency medical care with the exception of behavioral health services that are currently provided by DMHAS. The chief purpose of the primary care providers would be to deliver first contact care, assume ongoing responsibility for health and illness, and coordinate the use of the health care system.

The principal goal of contracting with a primary care case management (PCCM) system would be two-fold: 1) cost-effectiveness; and 2) ensuring access. PCCM would result in cost savings by minimizing the resources consumed in rendering required medical services. A PCCM strategy is clinically and economically superior to the current fragmented and discontinuous fee-for-service care provided General Assistance clients. Promoting a sustained relationship between a client and primary care provider will improve health outcomes and lower costs due to a reduction in the deliver of unnecessary and unmanaged care.

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

The committee recommends that \$1 million be used from FY01 surplus to establish a primary care case management system. The Department of Social Services shall contract with community health centers as the core primary care organizations responsible for delivering health services and shall contract with a related entity as the administrative services organization providing claims processing and billing. The committee reduces the health expenditures by \$10.2 million in FY02 and \$11.1 million in FY03 as a result of savings achieved through the establishment of a primary care case management system for general assistance clients.

State Administered General Assistance	0	-11,930,000	0	-12,690,000	0	1,775,719	0	1,620,136
Total - General Fund	0	-11,930,000	0	-12,690,000	0	1,775,719	0	1,620,136
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	1,000,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	1,000,000	0	0

Child Care Cost and Caseload Update - (B)

The department offers a variety of child care benefits intended to allow low-income families to become self-sufficient in the working world. The program is currently running a substantial projected surplus for FY 01.

-(Governor) The Governor recommends a reduction of \$4.15 million in FY02 and an increase of \$8.7 million in FY03 for the Child Care program to reflect updated cost and caseload estimates. Funds are also provided for a tiered child care rate system, whereby licensed providers receive an increased rate.

-(Committee) The Committee further reduces the Child Care services account by \$1.4 million in FY02 and \$7 million in FY03 to reflect more recent cost and caseload information.

Child Care Services-TANF/CCDBG	0	-4,150,802	0	8,718,563	0	-1,400,000	0	-7,000,000
Total - General Fund	0	-4,150,802	0	8,718,563	0	-1,400,000	0	-7,000,000

Close Child Care Certificate Intake - (B)

The Child Care Certificate (CCC) program is open to families with incomes up to 50% of state median. Currently, the program serves an estimated 9,000 children of families not eligible for TFA but who are in need of care as either a parent is working, a teen parent is attending high school, or a pregnant mother is attending a treatment program.

-(Governor) The Governor recommends closing the intake for the Child Care Certificate program. This results in savings of \$4.3 million in FY02 and \$18.8 million in FY03.

-(Committee) Funding for the child care certificate program is restored, thus intake into the program will remain open.

Child Care Services-TANF/CCDBG	0	-4,300,000	0	-18,800,000	0	4,300,000	0	18,800,000
Total - General Fund	0	-4,300,000	0	-18,800,000	0	4,300,000	0	18,800,000

Transfer Certain Funding to SSBG - (B)

The Federal Personal Responsibility and Work Opportunity Reconciliation Act of 1996 allows for 10% of the state's federal TANF block grant to be

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

transferred to the Social Services Block Grant (SSBG). In response to this, the state transferred \$24 million in expenditures to the SSBG, starting in FY97. In recent years, the state has reduced the level of SSBG transfers due to changes in federal law.

-(Governor) The Governor recommends transferring certain Child Day Care costs to the SSBG block grant and bringing Housing/Homeless expenditures back to the General Fund. These changes are in addition to SSBG transfers that took place during FY01 that are annualized in the Governor's current services budget.

-(Committee) Same as Governor.

Housing/Homeless Services	0	3,123,869	0	3,123,869	0	0	0	0
Child Day Care	0	-1,947,111	0	-1,947,111	0	0	0	0
Child Day Care	0	-1,246,762	0	-1,246,762	0	0	0	0
Total - General Fund	0	-70,004	0	-70,004	0	0	0	0

Eliminate School Readiness - (B)

School Readiness is a comprehensive program designed to facilitate a child's readiness to enter the education system and improve the quality and safety of the child care system. Services of the program include quality initiatives, accreditation, provider training, program studies and background checks for providers.

-(Governor) The Governor recommends eliminating funding for the School Readiness program. Funding for the background checks for providers is transferred to the Other Expenses account in order to continue this service.

-(Committee) Funding of \$5.35 million in each of the biennium is provided. The first \$1.93 million of funds is provided for Local Quality Enhancement grants, and the remaining funds are for provider training and accreditation through such programs as Connecticut Charts a Course, provider training, and other programs. Funding of \$100,000 is provided through the Other Expenses account for provider criminal background checks.

Other Expenses	0	100,000	0	100,000	0	0	0	0
School Readiness	0	-6,404,681	0	-5,904,681	0	5,350,000	0	5,350,000
Total - General Fund	0	-6,304,681	0	-5,804,681	0	5,350,000	0	5,350,000

OTHER SERVICES

Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$3,427,995 in FY 02 and by \$7,181,925 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
-(Committee) Same as Governor.								
Other Expenses	0	-732,686	0	-1,597,156	0	0	0	0
HUSKY Outreach and Data Collection	0	-132,554	0	-274,053	0	0	0	0
Independent Living Center- Administration	0	-561	0	-1,160	0	0	0	0
Genetic Tests in Paternity Actions	0	-5,025	0	-10,389	0	0	0	0
Day Care Projects	0	-11,876	0	-24,554	0	0	0	0
Commission on Aging	0	-564	0	-1,166	0	0	0	0
Information Technology Services	0	-271,823	0	-572,626	0	0	0	0
Vocational Rehabilitation	0	-162,575	0	-336,120	0	0	0	0
Human Resource Development-Hispanic Programs	0	-2,554	0	-5,281	0	0	0	0
Services to the Elderly	0	-140,447	0	-290,371	0	0	0	0
Safety Net Services	0	-119,736	0	-247,552	0	0	0	0
Transportation for Employment Independence Program	0	-71,189	0	-147,182	0	0	0	0
Transitional Rental Assistance	0	-82,823	0	-171,235	0	0	0	0
Energy Assistance	0	-47,867	0	-98,964	0	0	0	0
Services for Persons with Disabilities	0	-165,662	0	-348,502	0	0	0	0
Nutrition Assistance	0	-7,421	0	-15,342	0	0	0	0
Housing/Homeless Services	0	-578,477	0	-1,195,989	0	0	0	0
Employment Opportunities	0	-21,091	0	-43,604	0	0	0	0
Human Resource Development	0	-92,671	0	-191,594	0	0	0	0
Child Day Care	0	-305,982	0	-632,611	0	0	0	0
Independent Living Centers	0	-17,660	0	-36,512	0	0	0	0
AIDS Drug Assistance	0	-32,644	0	-63,126	0	0	0	0
School Readiness	0	-135,692	0	-280,541	0	0	0	0
Community Services	0	-8,575	0	-17,729	0	0	0	0
Child Day Care	0	-237,893	0	-491,838	0	0	0	0
Human Resource Development	0	-1,786	0	-3,693	0	0	0	0
Human Resource Development-Hispanic Programs	0	-279	0	-578	0	0	0	0
Teen Pregnancy Prevention	0	-25,125	0	-51,946	0	0	0	0
Services to the Elderly	0	-1,132	0	-2,341	0	0	0	0
Housing/Homeless Services	0	-13,625	0	-28,170	0	0	0	0
Total - General Fund	0	-3,427,995	0	-7,181,925	0	0	0	0

Replace Equipment through the Capital Equipment Purchase Fund - (B)

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$1,944,000 in both years of the biennium. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Correction, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
-(Committee) Same as Governor.								
Equipment	0	-1,944,000	0	-1,944,000	0	0	0	0
Total - General Fund	0	-1,944,000	0	-1,944,000	0	0	0	0

Direct Deposit of Child Support Payments - (B)

-(Governor) Fleet Bank, the current vendor responsible for child support payment processing, has proposed a voluntary direct deposit program for child support payments for non-TFA clients. This proposal would result in savings due to the elimination of the weekly printing and mailing of checks.

-(Committee) Same as Governor.

Other Expenses	0	-87,060	0	-266,309	0	0	0	0
Total - General Fund	0	-87,060	0	-266,309	0	0	0	0

Continue Allotment Reductions - (B)

In FY 01, the Governor implemented allotment reductions totaling \$48.5 million statewide in various accounts and programs, in order to keep state expenditures beneath the spending cap.

-(Governor) The majority of allotment reductions are continued for this agency. An amount of \$2,608,243 is removed from various accounts in both FY 02 and FY 03. Statewide, the continued allotment reductions are over \$9.4 million, including almost \$6.5 million in the General Fund and \$2.5 million in the Special Transportation Fund.

-(Committee) Same as Governor.

HUSKY Outreach and Data Collection	0	-288,161	0	-288,161	0	0	0	0
Day Care Projects	0	-25,818	0	-25,818	0	0	0	0
Commission on Aging	0	-12,500	0	-12,500	0	0	0	0
Human Resource Development-Hispanic Programs	0	-5,553	0	-5,553	0	0	0	0
Services to the Elderly	0	-57,782	0	-57,782	0	0	0	0
Safety Net Services	0	-260,296	0	-260,296	0	0	0	0
Transportation for Employment Independence Program	0	-154,760	0	-154,760	0	0	0	0
Transitory Rental Assistance	0	-180,050	0	-180,050	0	0	0	0
Services for Persons with Disabilities	0	-200,000	0	-200,000	0	0	0	0
Housing/Homeless Services	0	-158,822	0	-158,822	0	0	0	0
Employment Opportunities	0	-45,849	0	-45,849	0	0	0	0
Human Resource Development	0	-201,458	0	-201,458	0	0	0	0
Child Day Care	0	-665,178	0	-665,178	0	0	0	0
Independent Living Centers	0	-38,392	0	-38,392	0	0	0	0
School Readiness	0	-294,983	0	-294,983	0	0	0	0
Community Services	0	-18,641	0	-18,641	0	0	0	0
Total - General Fund	0	-2,608,243	0	-2,608,243	0	0	0	0

Reduce Personal Services - (B)

In FY01, agency Personal Services appropriations were subject to 1.11% holdback due to the required bottom line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by 1% in FY02 and 1.5% in FY03, reducing the agency's budget by \$1.1 million in FY 02 and by \$1.7 million in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03.

-(Committee) Same as Governor.

Personal Services	0	-1,077,723	0	-1,727,130	0	0	0	0
Total - General Fund	0	-1,077,723	0	-1,727,130	0	0	0	0

Defer Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$85,307 in FY 02 and \$123,049 in FY 03. This reflects a six-month deferral of pay increases for the employees.

-(Committee) Same as Governor.

Personal Services	0	-85,307	0	-123,049	0	0	0	0
Total - General Fund	0	-85,307	0	-123,049	0	0	0	0

Realign Funding Among Several Accounts - (B)

-(Governor) The Governor recommends transferring funds between several accounts in order to more accurately reflect the provision of services. These transfers include the consolidation of anti-hunger funding into the Nutrition Assistance account, the consolidation of Shelter Services and AIDS Residences into the Housing/Homeless Services account, and several other technical adjustments.

-(Committee) The committee does not support the consolidation of anti-hunger funding into the Nutrition Assistance account.

Anti-Hunger Programs	0	-227,016	0	-227,016	0	227,016	0	227,016
Services to the Elderly	0	-49,236	0	-49,236	0	0	0	0
Services for Persons with Disabilities	0	40,576	0	40,576	0	0	0	0
Child Care Services-TANF/CCDBG	0	-800,000	0	-800,000	0	0	0	0
Residences for Persons with AIDS	0	-3,335,230	0	-3,335,230	0	0	0	0
Nutrition Assistance	0	227,016	0	227,016	0	-227,016	0	-227,016
Housing/Homeless Services	0	2,770,894	0	2,770,894	0	0	0	0
Shelter Services for Victims of Household Abuse	0	-28,091	0	-28,091	0	0	0	0
School Readiness	0	800,000	0	800,000	0	0	0	0
Services to the Elderly	0	49,236	0	49,236	0	0	0	0
Housing/Homeless Services	0	592,427	0	592,427	0	0	0	0
Vocational Rehabilitation Transition Plan	0	-40,576	0	-40,576	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Transfer Functions to ITS Account - (B)

-(Governor) The Governor recommends transferring funding for ConnPACE claims processing and the Child Care Management Information System to the

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Information Technology Services account.								
-(Committee) Same as Governor.								
Information Technology Services	0	9,899,011	0	9,899,011	0	0	0	0
Connecticut Pharmaceutical Assistance Contract to the Elderly	0	-1,502,013	0	-1,502,013	0	0	0	0
Child Care Services-TANF/CCDBG	0	-8,396,998	0	-8,396,998	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Transfer Certain Employment Programs to the Department of Labor - (B)

Opportunity Industrial Centers (OIC) provide educational and vocational training and job placement services for unemployed and underemployed people. It is funded both with General Fund dollars and SSBG/TANF funds. Safety Net Services help clients who have exhausted their 21-month limit for TFA benefits. Services include assistance in finding employment.

-(Governor) The Governor recommends transferring OIC funding as well as the employment assistance portion of the Safety Net program to the Department of Labor (DOL) in an effort to consolidate work related programs within DOL. Full funding for OIC's (both General Fund and SSBG/TANF) is transferred to DOL. An amount equivalent to the SSBG funding for OIC is reduced from the Child Day Care account to reflect that the SSBG/TANF funds made available through the OIC transfer will now be allocated to Child Care functions.

-(Committee) Same as Governor.

Safety Net Services	0	-657,000	0	-657,000	0	0	0	0
Opportunity Industrial Centers	0	-334,323	0	-342,346	0	0	0	0
Child Day Care	0	-334,149	0	-334,149	0	0	0	0
Total - General Fund	0	-1,325,472	0	-1,333,495	0	0	0	0

Transfer Teen Pregnancy Prevention Campaign Funding - (B)

Pursuant to Section 19a-59e C.G.S., the Department of Public Health, in consultation with DSS, created a media campaign for the purpose of reducing adolescent pregnancy in the state. This program, first funded in 1998, was intended to run for three years.

-(Governor) The Governor recommends transferring funding for the media campaign to DSS in order to consolidate teen pregnancy prevention efforts within the department.

-(Committee) Same as Governor.

Teen Pregnancy Prevention	0	53,216	0	54,493	0	0	0	0
Total - General Fund	0	53,216	0	54,493	0	0	0	0

Transfer Position to CDHI - (B)

In recent years, DSS supported the Commission on Deaf and Hearing Impaired (CDHI) with Personal Services resources for budgeting, forecasting and planning.

-(Governor) The Governor recommends transferring one position and the associated funding to the CDHI. This transfer formalizes the current support that DSS

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

has provided CDHI in recent years.

-(Committee) Same as Governor.

Personal Services	-1	-69,190	-1	-72,650	0	0	0	0
Total - General Fund	-1	-69,190	-1	-72,650	0	0	0	0

Transfer Food Stamp Training from the Department of Labor - (B)

The Food Stamp Training Program assists Food Stamp recipients in gaining skills, training or experience that will increase their ability to obtain unsubsidized employment. Administration of the program was transferred to DOL in the 2000 legislative session as much of the caseload was the same population as DOL's Community Employment Incentive Program (CEIP).

-(Governor) The Governor is recommending the elimination of the CEIP program in DOL. Therefore, he recommends transferring the administration of the Food Stamp Training Program back to DSS.

-(Committee) Same as Governor.

Food Stamp Training Expenses	0	130,800	0	130,800	0	0	0	0
Total - General Fund	0	130,800	0	130,800	0	0	0	0

Provide FY01 Surplus Funds for Nutrition Assistance - (B)

-(Governor) The Governor recommends providing \$200,000 from the FY01 surplus as a one-time grant to increase the food supplies at the state's food banks. The Governor proposes that \$100,000 be made available in each year of the biennium.

-(Committee) The committee provides \$1 million from the FY01 surplus as a one-time grant to increase the food supplies at the state's food banks. \$500,000 shall be made available in each year of the biennium.

Carry Forward - FY 01 Surplus Appropriations	0	200,000	0	0	0	800,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	200,000	0	0	0	800,000	0	0

Enhance Alzheimer's Respite Program - (B)

The Alzheimer's Respite program provides up to \$3,500 and 21 days of service per year for those who care for individuals with Alzheimer's disease.

-(Committee) The committee provides an additional \$500,000 for the Alzheimer's respite program. Based on the current program parameters, these additional funds can provide services to 143 more families annually. This brings the total funding for the program to \$1.6 million.

Services to the Elderly	0	0	0	0	0	500,000	0	500,000
Total - General Fund	0	0	0	0	0	500,000	0	500,000

Fund TBI Data Registry - (B)

-(Committee) The committee provides \$100,000 in each year of the biennium to fund a Traumatic Brain Injury (TBI) data registry.

Services for Persons with Disabilities	0	0	0	0	0	100,000	0	100,000
Total - General Fund	0	0	0	0	0	100,000	0	100,000

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Maintain Current Level of RAP Certificates - (B)

The Rental Assistance Program provides financial assistance to low income families living in private rental housing.

-(Committee) The department is implementing an increase in the Fair Market Rents (FMR) allowed under the RAP program, which results in a greater cost per certificate for the department. As the Governor provided no additional funds for RAP, this increase in FMR will result in a net decrease in the number of certificates available to families. The committee provides \$500,000 in FY02 and \$1,500,000 in FY03 to maintain the same number of certificates under the new FMR levels that are currently available in the program.

Housing/Homeless Services	0	0	0	0	0	0	500,000	0	1,500,000
Total - General Fund	0	0	0	0	0	0	500,000	0	1,500,000

Enhance Homeless Services - (B)

-(Committee) The committee provides \$1 million in each year to enhance the shelters services in the state. A total of \$750,000 is to be distributed to existing shelters to enhance their administrative and service capacity. The remaining \$250,000 is provided to fund day programs at the New Haven Homeless Resource Center.

Housing/Homeless Services	0	0	0	0	0	0	1,000,000	0	1,000,000
Total - General Fund	0	0	0	0	0	0	1,000,000	0	1,000,000

Fund Restorative Services - (B)

-(Committee) The committee provides \$500,000 to fund a program for birth defect restoration. This program will fund services at the Connecticut Children's Medical Center and the Yale – New Haven Hospital.

Community Services	0	0	0	0	0	0	500,000	0	500,000
Total - General Fund	0	0	0	0	0	0	500,000	0	500,000

Fund UConn LongTerm Care Institute - (B)

-(Committee) The committee provides \$200,000 in each year of the biennium to fund the UConn Long Term Care Institute. This funding continues state support to this program that was undertaken in the last biennium.

Services to the Elderly	0	0	0	0	0	0	200,000	0	200,000
Total - General Fund	0	0	0	0	0	0	200,000	0	200,000

Provide Reproductive Health Care - (B)

-(Committee) The committee provides \$200,000 in each year of the biennium to provide reproductive health care for low-income teens.

Teen Pregnancy Prevention	0	0	0	0	0	0	200,000	0	200,000
Total - General Fund	0	0	0	0	0	0	200,000	0	200,000

Fund Community Building Efforts - (B)

-(Committee) The committee provides \$100,000 in each year of the biennium to fund the Thompson Ecumenical Empowerment Group. This group provides a wide variety of community services in the Northeast region of the state.

Community Services	0	0	0	0	0	0	100,000	0	100,000
Total - General Fund	0	0	0	0	0	0	100,000	0	100,000

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Provide FY01 Surplus Funding for Community Enhancement Efforts - (B)								
-(Committee) The committee provides \$700,000 from the FY 01 surplus to enhance community services. Of this, Christian Community Action will receive \$150,000 and the Hill Cooperative will receive \$50,000. The remaining \$500,000 will be used to develop an educational program, in cooperation with the Community Technical Colleges, that will allow people trained as Certified Nurses Assistants to become Licensed Practicing Nurses.								
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	700,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	700,000	0	0
Transfer Funding for Huntington's Disease - (B)								
The department currently receives funding for a program of services for those suffering from Huntington's Disease. The department transfers these funds through an interagency agreement to the University of Connecticut Health Center, which operates a statewide program.								
-(Committee) The Committee transfers funding for the Huntington's Disease program to reflect the actual provision of services at the University of Connecticut Health Center.								
Services for Persons with Disabilities	0	0	0	0	0	-319,299	0	-326,962
Total - General Fund	0	0	0	0	0	-319,299	0	-326,962
Budget Totals - GF	2,155	3,504,459,267	2,155	3,647,892,921	0	31,052,568	0	32,673,056
Budget Totals - OF	0	20,200,000	0	0	0	37,100,000	0	0

Soldiers, Sailors and Marines' Fund 6301

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
POSITION SUMMARY						
Appropriated Funds						
Soldiers, Sailors and Marines' Fund						
Permanent Full-Time	19	19	17	17	17	17
OPERATING BUDGET						
Appropriated Funds						
Soldiers, Sailors and Marines' Fund						
001 Personal Services	735,652	739,686	801,238	826,652	801,238	826,652
002 Other Expenses	403,486	440,054	439,453	451,985	439,453	451,985
005 Equipment	19,483	13,000	15,000	7,500	15,000	7,500
02X Other Current Expenses	1,724,350	1,850,000	1,900,000	1,930,000	1,900,000	1,930,000
Agency Total - Soldiers, Sailors and Marines' Fund	2,882,971	3,042,740	3,155,691	3,216,137	3,155,691	3,216,137
BUDGET BY PROGRAM						
Award Assistance to Veterans and Dependents						
Permanent Full-Time Positions SF	19	19	17	17	17	17
Soldiers, Sailors and Marines' Fund						
Personal Services	735,652	739,686	817,420	859,448	817,420	859,448
Other Expenses	403,486	440,054	439,453	451,985	439,453	451,985
Equipment	19,483	13,000	15,000	7,500	15,000	7,500
021 Award Payments to Veterans	1,724,350	1,850,000	1,900,000	1,930,000	1,900,000	1,930,000
Total - Soldiers, Sailors and Marines' Fund	2,882,971	3,042,740	3,171,873	3,248,933	3,171,873	3,248,933
Personal Services Reductions						
Soldiers, Sailors and Marines' Fund						
Personal Services	0	0	-7,608	-12,123	-7,608	-12,123
Less: Turnover - Personal Services - SF	0	0	-8,574	-20,673	-8,574	-20,673
EQUIPMENT						
005 Equipment	19,483	13,000	15,000	7,500	15,000	7,500
Agency Grand Total	2,882,971	3,042,740	3,155,691	3,216,137	3,155,691	3,216,137

BUDGET CHANGES

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY 01 Estimated Expenditures - SF	19	3,136,146	19	3,136,146	0	0	0	0
Inflation and Non-Program Changes - (B)								
Personal Services	0	51,408	0	87,408	0	0	0	0
Other Expenses	0	32,727	0	50,256	0	0	0	0
Equipment	0	6,500	0	-1,000	0	0	0	0
Award Payments to Veterans	0	50,000	0	80,000	0	0	0	0
Total - Soldiers, Sailors and Marines' Fund	0	140,635	0	216,664	0	0	0	0

Reduce Personal Services Funding Through a General Personal Services Cut and by Increasing Turnover - (B)

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and about one and one-half percent (1-1/2%) in FY 03, reducing the agency's budget by \$7,608 in FY 02 and by \$12,123 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. In addition, the state General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$8,814 in FY 02 and by \$9,093 in FY 03.

-(Committee) Same as Governor.

Personal Services	0	-7,608	0	-12,123	0	0	0	0
Total - Soldiers, Sailors and Marines' Fund	0	-7,608	0	-12,123	0	0	0	0

Delay Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$1,154 in FY 02 and \$1,225 in FY 03 by delaying annual salary increases for six months.

-(Committee) Same as Governor.

Personal Services	0	-1,154	0	-1,225	0	0	0	0
Total - Soldiers, Sailors and Marines' Fund	0	-1,154	0	-1,225	0	0	0	0

Eliminate Vacant Positions to Decrease Personal Services and Other Expenses - (B)

The agency has 3 vacancies out of 19 established positions. It is a Special Fund agency.

-(Governor) The governor recommends eliminating 2 vacant positions. Personal Services accounts are reduced by \$79,000 in FY 02 and \$85,000 in FY 03 and Other Expenses accounts are reduced by \$31,805 in FY 02 and \$34,221 in FY 03 in order to achieve savings.

-(Committee) Same as Governor.

Personal Services	-2	-79,000	-2	-85,000	0	0	0	0
Other Expenses	0	-31,805	0	-34,221	0	0	0	0
Total - Soldiers, Sailors and Marines' Fund	-2	-110,805	-2	-119,221	0	0	0	0

Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
maintain the same level of services if the general rate of inflation matches these rates.								
-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$1,523 in FY 02 and by \$4,104 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.								
-(Committee) Same as Governor.								
Other Expenses	0	-1,523	0	-4,104	0	0	0	0
Total - Soldiers, Sailors and Marines' Fund	0	-1,523	0	-4,104	0	0	0	0
Budget Totals - SF	17	3,155,691	17	3,216,137	0	0	0	0

Board of Education and Services for the Blind 7101

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
POSITION SUMMARY						
Appropriated Funds						
General Fund						
Permanent Full-Time	82	90	90	90	92	92
Others Equated to Full-Time	0	6	6	6	6	6
Additional Funds Available						
Permanent Full-Time	177	175	172	172	172	172
Others Equated to Full-Time	0	1	1	1	1	1
OPERATING BUDGET						
Appropriated Funds						
General Fund						
001 Personal Services	4,003,375	4,972,139	5,129,151	5,325,390	5,189,151	5,388,390
002 Other Expenses	2,808,283	1,457,731	1,535,218	1,535,218	1,555,744	1,535,218
005 Equipment	0	0	1,000	1,000	80,474	1,000
02X Other Current Expenses	10,641	0	0	0	0	0
6XX Grant Payments - Other than Towns	6,629,176	7,022,889	7,389,942	7,389,942	7,389,942	7,389,942
7XX Grant Payments - To Towns	1,608,129	1,980,000	1,613,892	1,613,892	1,613,892	1,613,892
Agency Total - General Fund	15,059,604	15,432,759	15,669,203	15,865,442	15,829,203	15,928,442
Additional Funds Available						
Special Funds, Non-Appropriated	30,435	35,000	37,000	39,000	37,000	39,000
Bond Funds	54,531	0	0	0	0	0
Private Contributions	5,258,648	5,727,400	5,781,400	5,881,400	5,781,400	5,881,400
Federal Contributions	3,774,481	3,284,475	3,286,475	3,286,475	3,286,475	3,286,475
Agency Grand Total	24,177,699	24,479,634	24,774,078	25,072,317	24,934,078	25,135,317
BUDGET BY PROGRAM						
Special Education of Visually Handicapped Children						
Permanent Full-Time Positions GF/OF	21/7	26/6	26/2	26/2	27/2	27/2
General Fund						
Personal Services	1,248,176	1,255,962	1,347,888	1,391,563	1,347,888	1,391,563
Other Expenses	147,020	76,280	25,149	26,528	25,149	26,528
Grant Payments - Other Than Towns						
Education of Handicapped Blind Children	5,010,785	5,366,000	5,738,166	5,738,166	5,738,166	5,738,166
Education of Pre-School Blind Children	102,467	130,000	124,887	124,887	124,887	124,887
Grant Payments - To Towns						
Services for Persons with Impaired Vision	567,672	750,000	442,672	442,672	442,672	442,672
Tuition and Services-Public School Children	1,040,457	1,230,000	1,171,220	1,171,220	1,171,220	1,171,220
Total - General Fund	8,116,577	8,808,242	8,849,982	8,895,036	8,849,982	8,895,036
Federal Contributions						
Education Handicapped Child State School	381,370	0	0	0	0	0
Voc Rehabilitation - In Service Trng	101	0	0	0	0	0
Total - Federal Contributions	381,471	0	0	0	0	0
Additional Funds Available						
Private Contributions	1,607	0	800	800	800	800
Total - All Funds	8,499,655	8,808,242	8,850,782	8,895,836	8,850,782	8,895,836
Vocational Rehabilitation						
Permanent Full-Time Positions GF	1	1	1	1	2	2
General Fund						
Personal Services	0	15,766	17,046	17,483	77,046	80,483
Other Expenses	16,078	1,766	1,219	1,285	21,745	1,285
Equipment	0	0	0	0	79,474	0

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Grant Payments - Other Than Towns						
Vocational Rehabilitation	984,825	1,004,522	1,004,522	1,004,522	1,004,522	1,004,522
Total - General Fund	1,000,903	1,022,054	1,022,787	1,023,290	1,182,787	1,086,290
Federal Contributions						
Voc Rehabilitation - In Service Trng	27,047	19,975	19,975	19,975	19,975	19,975
Supported Employment	21,034	21,000	21,000	21,000	21,000	21,000
Social Security-Disability Insurance	342,606	350,000	350,000	350,000	350,000	350,000
Total - Federal Contributions	390,687	390,975	390,975	390,975	390,975	390,975
Additional Funds Available						
Private Contributions	1,089	0	1,100	1,100	1,100	1,100
Total - All Funds	1,392,679	1,413,029	1,414,862	1,415,365	1,574,862	1,478,365
Orientation and Mobility						
Permanent Full-Time Positions GF	5	5	5	5	5	5
General Fund						
Personal Services	315,147	303,443	401,300	411,613	401,300	411,613
Other Expenses	18,375	9,567	0	0	0	0
Total - General Fund	333,522	313,010	401,300	411,613	401,300	411,613
Federal Contributions						
Education Handicapped Child State School	62,128	0	0	0	0	0
Total - All Funds	395,650	313,010	401,300	411,613	401,300	411,613
Adult Services						
Permanent Full-Time Positions GF/OF	12/3	12/3	12/3	12/3	12/3	12/3
General Fund						
Personal Services	552,136	591,685	632,389	660,112	632,389	660,112
Other Expenses	58,371	27,347	7,075	7,464	7,075	7,464
Grant Payments - Other Than Towns						
Supplementary Relief and Services	145,942	123,350	123,350	123,350	123,350	123,350
Special Training for the Deaf Blind	341,552	354,540	354,540	354,540	354,540	354,540
Total - General Fund	1,098,001	1,096,922	1,117,354	1,145,466	1,117,354	1,145,466
Federal Contributions						
SSA Voc Rehab Program	367,270	223,000	225,000	225,000	225,000	225,000
Independent Living (Part A)	12,763	13,000	13,000	13,000	13,000	13,000
Rehab Svcs-Ind Living OlderBlind	0	45,000	45,000	45,000	45,000	45,000
Social Services Block Grant	112,500	112,500	112,500	112,500	112,500	112,500
Total - Federal Contributions	492,533	393,500	395,500	395,500	395,500	395,500
Additional Funds Available						
Private Contributions	10,022	0	10,100	10,100	10,100	10,100
Total - All Funds	1,600,556	1,490,422	1,522,954	1,551,066	1,522,954	1,551,066
Workshop Programs						
Permanent Full-Time Positions GF/OF	14/136	14/136	14/136	14/136	14/136	14/136
General Fund						
Personal Services	661,829	637,251	695,065	717,141	695,065	717,141
Other Expenses	491,757	255,759	202,853	213,974	202,853	213,974
Total - General Fund	1,153,586	893,010	897,918	931,115	897,918	931,115
Additional Funds Available						
Bond Funds	2,777	0	0	0	0	0
Private Contributions	3,815,094	4,220,000	4,262,000	4,362,000	4,262,000	4,362,000
Total - Additional Funds Available	3,817,871	4,220,000	4,262,000	4,362,000	4,262,000	4,362,000
Total - All Funds	4,971,457	5,113,010	5,159,918	5,293,115	5,159,918	5,293,115
Small Business Enterprises						
Permanent Full-Time Positions GF/OF	1/5	1/4	1/5	1/5	1/5	1/5
General Fund						
Personal Services	0	43,122	46,622	49,900	46,622	49,900
Other Expenses	2	0	0	0	0	0
Total - General Fund	2	43,122	46,622	49,900	46,622	49,900
Additional Funds Available						
Special Funds, Non-Appropriated	30,435	35,000	37,000	39,000	37,000	39,000
Bond Funds	1,700	0	0	0	0	0
Private Contributions	1,423,483	1,500,000	1,500,000	1,500,000	1,500,000	1,500,000
Total - Additional Funds Available	1,455,618	1,535,000	1,537,000	1,539,000	1,537,000	1,539,000
Total - All Funds	1,455,620	1,578,122	1,583,622	1,588,900	1,583,622	1,588,900

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Management Services						
Permanent Full-Time Positions GF/OF	28/26	31/26	31/26	31/26	31/26	31/26
General Fund						
Personal Services	1,226,087	2,124,910	2,240,310	2,364,123	2,240,310	2,364,123
Other Expenses	2,076,680	1,087,012	1,298,922	1,285,967	1,298,922	1,285,967
Equipment	0	0	1,000	1,000	1,000	1,000
050 Year 2000 Conversion	10,641	0	0	0	0	0
Grant Payments - Other Than Towns						
Connecticut Radio Information Service	43,605	44,477	44,477	44,477	44,477	44,477
Total - General Fund	3,357,013	3,256,399	3,584,709	3,695,567	3,584,709	3,695,567
Federal Contributions						
SSA Voc Rehab Program	2,446,688	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Independent Living (Part A)	974	0	0	0	0	0
Total - Federal Contributions	2,447,662	2,500,000	2,500,000	2,500,000	2,500,000	2,500,000
Additional Funds Available						
Bond Funds	50,054	0	0	0	0	0
Private Contributions	7,353	7,400	7,400	7,400	7,400	7,400
Total - Additional Funds Available	57,407	7,400	7,400	7,400	7,400	7,400
Total - All Funds	5,862,082	5,763,799	6,092,109	6,202,967	6,092,109	6,202,967
Personal Services Reductions						
General Fund						
Personal Services	0	0	-56,981	-92,057	-56,981	-92,057
Less: Turnover - Personal Services - GF	0	0	-194,488	-194,488	-194,488	-194,488
GRANT PAYMENTS - OTHER THAN TOWNS (Recap)						
604 Supplementary Relief and Services	145,942	123,350	123,350	123,350	123,350	123,350
605 Education of Handicapped Blind Children	5,010,785	5,366,000	5,738,166	5,738,166	5,738,166	5,738,166
606 Vocational Rehabilitation	984,825	1,004,522	1,004,522	1,004,522	1,004,522	1,004,522
607 Education of Pre-School Blind Children	102,467	130,000	124,887	124,887	124,887	124,887
609 Special Training for the Deaf Blind	341,552	354,540	354,540	354,540	354,540	354,540
610 Connecticut Radio Information Service	43,605	44,477	44,477	44,477	44,477	44,477
GRANT PAYMENTS - TO TOWNS (Recap)						
701 Services for Persons with Impaired Vision	567,672	750,000	442,672	442,672	442,672	442,672
702 Tuition and Services-Public School Children	1,040,457	1,230,000	1,171,220	1,171,220	1,171,220	1,171,220
EQUIPMENT						
005 Equipment	0	0	1,000	1,000	80,474	1,000
Agency Grand Total	24,177,699	24,479,634	24,774,078	25,072,317	24,934,078	25,135,317

BUDGET CHANGES

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY 01 Estimated Expenditures - GF	90	16,147,148	90	16,147,148	0	0	0	0
Inflation and Non-Program Changes - (B)								
Personal Services	0	268,950	0	500,265	0	0	0	0
Other Expenses	0	35,310	0	73,003	0	0	0	0
Equipment	0	44,633	0	98,958	0	0	0	0
Supplementary Relief and Services	0	2,837	0	5,866	0	0	0	0
Education of Handicapped Blind Children	0	131,978	0	272,861	0	0	0	0
Vocational Rehabilitation	0	23,104	0	47,767	0	0	0	0
Education of Pre-School Blind Children	0	2,872	0	5,938	0	0	0	0
Special Training for the Deaf Blind	0	8,154	0	16,859	0	0	0	0
Connecticut Radio Information Service	0	1,023	0	2,115	0	0	0	0
Services for Persons with Impaired Vision	0	10,181	0	21,049	0	0	0	0
Tuition and Services-Public School Children	0	26,938	0	55,694	0	0	0	0
Total - General Fund	0	555,980	0	1,100,375	0	0	0	0

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Provide Deficiency Funds for Personal Services and Other Expenses - (B)

The agency has deficiency needs of \$1,500,000. This includes \$800,000 in Personal Services and \$700,000 in Other Expenses. The Other Expense deficiency includes \$500,000 for a Case Management System. The deficiency amounts will not affect appropriations in FY 03.

-(Governor) Funding in Other Expenses is necessary to be adjusted to reflect the receipt of deficiency funding in FY 01.

-(Committee) Same as Governor.

Other Expenses	0	-689,914	0	-689,914	0	0	0	0
Total - General Fund	0	-689,914	0	-689,914	0	0	0	0

Reduce Personal Services Funding through a General Personal Services Cut - (B)

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1-1/2%) in FY 03, reducing the agency's budget by \$48,700 in FY 02 and by \$78,098 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. The statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$56,421 in FY 02 and by \$58,579 in FY 03.

-(Committee) Same as Governor.

Personal Services	0	-48,700	0	-78,098	0	0	0	0
Total - General Fund	0	-48,700	0	-78,098	0	0	0	0

Delay Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$8,281 in FY 02 and \$13,959 in FY 03 by delaying annual salary increase for six months.

-(Committee) Same as Governor.

Personal Services	0	-8,281	0	-13,959	0	0	0	0
Total - General Fund	0	-8,281	0	-13,959	0	0	0	0

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$242,397 in FY 02 and by \$501,152 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

-(Committee) Same as Governor.

Other Expenses	0	-35,310	0	-73,003	0	0	0	0
Supplementary Relief and Services	0	-2,837	0	-5,866	0	0	0	0
Education of Handicapped Blind Children	0	-131,978	0	-272,861	0	0	0	0
Vocational Rehabilitation	0	-23,104	0	-47,767	0	0	0	0
Education of Pre-School Blind Children	0	-2,872	0	-5,938	0	0	0	0
Special Training for the Deaf Blind	0	-8,154	0	-16,859	0	0	0	0
Connecticut Radio Information Service	0	-1,023	0	-2,115	0	0	0	0
Services for Persons with Impaired Vision	0	-10,181	0	-21,049	0	0	0	0
Tuition and Services-Public School Children	0	-26,938	0	-55,694	0	0	0	0
Total - General Fund	0	-242,397	0	-501,152	0	0	0	0

Replace Equipment through the Capital Equipment Purchase Fund - (B)

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$44,633 in FY 02 and by \$98,958 in FY 03. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for 4 criminal justice system agencies (Judicial, Corrections, Public Defender, and Criminal Justice) by over 66%, and reduces the equipment budgets for all other General Fund agencies by over 98%. These 4 criminal justice agencies account for 94.3% and 95.8% of the total statewide General Fund governor's recommended equipment funds in FY 02 FY 03, respectively.

-(Committee) Same as Governor.

Equipment	0	-44,633	0	-98,958	0	0	0	0
Total - General Fund	0	-44,633	0	-98,958	0	0	0	0

Add Funds for Adaptive Technology Center - (B)

A new Adaptive Technology Center will aid the blind population in communicating with other individuals or organizations.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<p>-(Committee) Funding, in the amount of \$160,000 in FY 02 and \$63,000 in FY 03, is provided to create an Adaptive Technology Center. First year funding reflects one-time equipment costs of \$79,474 for items including 2 Braille Note Takers and a Braille Embosser. An additional \$20,526 is provided for speech software under Other Expenses. The sum of \$60,000 is provided in FY 02 and \$63,000 in FY 03 to support one Rehabilitative Technologist position.</p>								
Personal Services	0	0	0	0	1	60,000	1	63,000
Other Expenses	0	0	0	0	0	20,526	0	0
Equipment	0	0	0	0	0	79,474	0	0
Total - General Fund	0	0	0	0	1	160,000	1	63,000

Add Position to the Position Count of the Agency - (B)

The governor's budget recommended 90 positions for FY 02 and FY03. The correct recommendation should have been 91 positions for each of FY 02 and FY 03.

-(Committee) The committee adds one position to the position count of the agency for each of FY 02 and FY 03.

Personal Services	0	0	0	0	1	0	1	0
Total - General Fund	0	0	0	0	1	0	1	0
Budget Totals - GF	90	15,669,203	90	15,865,442	2	160,000	2	63,000

Commission on the Deaf and Hearing Impaired 7102

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
POSITION SUMMARY						
Appropriated Funds						
General Fund						
Permanent Full-Time	11	11	13	13	13	13
Others Equated to Full-Time	1	1	1	1	1	1
Additional Funds Available						
Permanent Full-Time	3	3	3	3	3	3
Others Equated to Full-Time	1	1	1	1	1	1
OPERATING BUDGET						
Appropriated Funds						
General Fund						
001 Personal Services	510,685	669,000	784,962	817,585	784,962	817,585
002 Other Expenses	152,970	160,032	165,686	165,686	165,686	165,686
005 Equipment	8,000	1,000	1,000	1,000	1,000	1,000
02X Other Current Expenses	320,021	400,523	200,000	200,000	200,000	200,000
Agency Total - General Fund	991,676	1,230,555	1,151,648	1,184,271	1,151,648	1,184,271
Additional Funds Available						
Bond Funds	3,966	3,966	0	0	0	0
Private Contributions	0	0	100	110	100	110
Federal Contributions	271,271	274,932	301,000	301,000	301,000	301,000
Agency Grand Total	1,266,913	1,509,453	1,452,748	1,485,381	1,452,748	1,485,381
BUDGET BY PROGRAM						
Services for Deaf and Hard of Hearing						
Permanent Full-Time Positions GF/OF	11/3	11/3	13/3	13/3	13/3	13/3
General Fund						
Personal Services	510,685	669,000	810,888	847,184	810,888	847,184
Other Expenses	152,970	160,032	165,686	165,686	165,686	165,686
Equipment	8,000	1,000	1,000	1,000	1,000	1,000
011 Part-Time Interpreters	318,021	400,523	200,000	200,000	200,000	200,000
050 Year 2000 Conversion	2,000	0	0	0	0	0
Total - General Fund	991,676	1,230,555	1,177,574	1,213,870	1,177,574	1,213,870
Federal Contributions						
Social Services Block Grant	271,271	274,932	301,000	301,000	301,000	301,000
Additional Funds Available						
Bond Funds	3,966	3,966	0	0	0	0
Private Contributions	0	0	100	110	100	110
Total - Additional Funds Available	3,966	3,966	100	110	100	110
Total - All Funds	1,266,913	1,509,453	1,478,674	1,514,980	1,478,674	1,514,980
Personal Services Reductions						
General Fund						
Personal Services	0	0	-7,917	-11,590	-7,917	-11,590
Less: Turnover - Personal Services - GF	0	0	-18,009	-18,009	-18,009	-18,009
EQUIPMENT						
005 Equipment	8,000	1,000	1,000	1,000	1,000	1,000
Agency Grand Total	1,266,913	1,509,453	1,452,748	1,485,381	1,452,748	1,485,381

BUDGET CHANGES

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY 01 Estimated Expenditures - GF	11	998,571	11	998,571	0	0	0	0
Inflation and Non-Program Changes - (B)								
Personal Services	0	-187	0	32,649	0	0	0	0
Other Expenses	0	2,297	0	4,749	0	0	0	0
Part-Time Interpreters	0	104,600	0	109,510	0	0	0	0
Total - General Fund	0	106,710	0	146,908	0	0	0	0

Reduce Personal Services Funding through a General Personal Services Cut - (B)

In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1%) in FY 02 and by about one and one half percent (1-1/2%) in FY 03, reducing the agency's budget by \$6,796 in FY 02 and by \$10,925 in FY 03. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. . Also, the agency's Personal Services account is reduced by \$8,009 in each fiscal year by increasing turnover. The total turnover and Personal Services reductions in the agency are \$14,805 in FY 02 and \$18,934 in FY 03. The statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1% holdback, the agency's Personal Services moneys would be reduced by \$8,635 in FY 02 and by \$8,993 in FY 03.

-(Committee) Same as Governor.

Personal Services	0	-6,796	0	-10,925	0	0	0	0
Less: Turnover - Personal Services	0	-8,009	0	-8,009	0	0	0	0
Total - General Fund	0	-14,805	0	-18,934	0	0	0	0

Delay Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees in the amount of \$1,121 in FY 02 and \$665 in FY 03 by delaying annual salary increases for six months..

-(Committee) Same as Governor.

Personal Services	0	-1,121	0	-665	0	0	0	0
Total - General Fund	0	-1,121	0	-665	0	0	0	0

Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.								
-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$6,897 in FY 02 and by \$14,259 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY03.								
-(Committee) Same as Governor.								
Personal Services	0	-4,600	0	0	0	0	0	0
Other Expenses	0	-2,297	0	-4,749	0	0	0	0
Part-Time Interpreters	0	0	0	-9,510	0	0	0	0
Total - General Fund	0	-6,897	0	-14,259	0	0	0	0
Transfer Position From the Department of Social Services - (B)								
The position is a Fiscal Manager 1, which would support the business department. This support has been provided by the Department of Social Services in the past. Responsibilities will include budgeting, planning and supervising the business department staff.								
-(Governor) The governor recommends the transfer of a Financial Management position from the Department of Social Services to the Commission on the Deaf and Hearing Impaired.								
-(Committee) Same as Governor.								
Personal Services	1	69,190	1	72,650	0	0	0	0
Total - General Fund	1	69,190	1	72,650	0	0	0	0
Adjust Position Level - (B)								
The agency has a position that was fifty percent funded by the Commission and fifty percent funded by the Department of Social Services. The position is an Interpreter Assistant with the responsibility of scheduling and supervising other interpreters.								
-(Governor) The agency's authorized position count is increased by one to reflect assumption of full financial support for an Interpreter Assistant								
-(Committee) Same as Governor.								
Personal Services	1	0	1	0	0	0	0	0
Total - General Fund	1	0	1	0	0	0	0	0
Budget Totals - GF	13	1,151,648	13	1,184,271	0	0	0	0

Department of Children and Families 8100

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
POSITION SUMMARY						
Appropriated Funds						
General Fund						
Permanent Full-Time	3,357	3,398	3,403	3,403	3,403	3,403
Others Equated to Full-Time	66	131	131	130	131	130
Additional Funds Available						
Permanent Full-Time	33	34	33	33	33	33
OPERATING BUDGET						
Appropriated Funds						
General Fund						
001 Personal Services	174,662,422	184,243,443	201,360,863	211,662,330	201,462,946	211,770,602
002 Other Expenses	25,230,939	26,846,528	30,448,429	30,511,339	30,448,429	30,511,339
005 Equipment	648,953	1,000	1,000	1,000	1,000	1,000
02X Other Current Expenses	10,389,569	7,119,673	6,391,662	6,578,813	6,386,642	6,569,060
6XX Grant Payments - Other than Towns	236,530,713	266,478,273	304,028,456	323,157,897	306,086,856	325,842,452
Agency Total - General Fund	447,462,596	484,688,917	542,230,410	571,911,379	544,385,873	574,694,453
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	2,600,000	0	2,400,000	0
Carry Forward - FY 00 Surplus Appropriations	0	3,800,000	0	0	0	0
Special Funds, Non-Appropriated	25,985,954	16,026,393	2,500,000	2,500,000	2,500,000	2,500,000
Bond Funds	3,659,828	1,734,205	2,845,000	2,108,000	2,845,000	2,108,000
Private Contributions	784,398	267,959	257,828	263,994	257,828	263,994
Federal Contributions	11,853,696	12,402,548	12,848,446	13,013,280	12,848,446	13,013,280
Agency Grand Total	489,746,472	518,920,022	563,281,684	589,796,653	565,237,147	592,579,727
BUDGET BY PROGRAM						
Child Protection Services - Community Based Services						
Permanent Full-Time Positions GF/OF	1,858/0	1,857/34	1,857/0	1,857/0	1,857/0	1,857/0
General Fund						
Personal Services	93,211,511	97,675,125	108,037,853	114,762,137	108,037,853	114,762,137
Other Expenses	9,781,948	11,401,042	9,863,421	9,860,152	9,863,421	9,860,152
038 Substance Abuse Screening	1,617,785	1,668,510	1,742,691	1,768,832	1,742,691	1,768,832
Grant Payments - Other Than Towns						
Health Assessment and Consultation	0	0	0	0	320,139	324,941
Child Abuse and Neglect Intervention	5,191,069	5,227,033	5,355,333	5,436,293	5,404,333	5,485,293
Aftercare for Children	73,710	54,082	90,405	91,761	0	0
Health and Community Services	52,538	87,429	79,891	84,195	0	0
Family Preservation Services	5,999,275	5,963,614	6,086,080	6,176,741	6,086,080	6,176,741
Child Welfare Support Services	1,670,758	1,945,113	2,358,431	2,397,667	427,361	435,381
Individualized Family Supports	0	850,000	869,550	882,593	2,482,780	2,520,022
Total - General Fund	117,598,594	124,871,948	134,483,655	141,460,371	134,364,658	141,333,499
Child Protection Services - Out-of-Home Services						
Permanent Full-Time Positions GF	135	135	135	135	135	135
General Fund						
Personal Services	6,460,137	6,781,340	8,194,527	8,633,010	8,194,527	8,633,010
Other Expenses	280,229	283,715	255,678	255,593	255,678	255,593
035 Wilderness School Program	33,702	36,094	38,274	40,588	0	0

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Grant Payments - Other Than Towns						
Child Welfare Support Services	219,549	108,977	209,903	213,395	209,903	213,395
Board and Care for Children-Adoption	24,751,173	30,651,687	38,149,690	40,534,633	39,706,153	42,718,707
Board and Care for Children-Foster	59,036,463	56,857,784	58,632,120	59,020,261	58,632,120	59,020,261
Board and Care for Children- Residential	14,953,875	22,664,115	11,465,865	11,540,216	11,123,169	11,297,762
Total - General Fund	105,735,128	117,383,712	116,946,057	120,237,696	118,121,550	122,138,728
Federal Contributions						
Family Preservation/Support Serv	164,757	361,140	361,140	361,140	361,140	361,140
Independent Living	1,566	3,318	3,000	3,000	3,000	3,000
Total - Federal Contributions	166,323	364,458	364,140	364,140	364,140	364,140
Additional Funds Available						
Private Contributions	9,077	10,092	8,300	8,300	8,300	8,300
Total - All Funds	105,910,528	117,758,262	117,318,497	120,610,136	118,493,990	122,511,168
Child Protection Services - State Operated Facilities						
Permanent Full-Time Positions GF	92	92	92	92	92	92
General Fund						
Personal Services	5,657,649	6,025,747	6,309,714	6,625,045	6,309,714	6,625,045
Other Expenses	631,957	620,469	575,981	575,790	575,981	575,790
Equipment	13,845	0	0	0	0	0
Total - General Fund	6,303,451	6,646,216	6,885,695	7,200,835	6,885,695	7,200,835
Behavioral Health - Community Based Services						
Permanent Full-Time Positions GF	61	62	72	72	72	72
General Fund						
Personal Services	3,049,085	3,551,936	3,661,240	3,629,146	3,661,240	3,629,146
Other Expenses	327,325	389,404	300,044	299,945	300,044	299,945
040 Local Systems of Care	135,913	1,031,913	1,066,414	1,082,410	1,163,477	1,180,929
Grant Payments - Other Than Towns						
Grants for Psychiatric Clinics for Children	11,306,397	11,433,259	12,190,460	12,373,317	12,190,460	12,373,317
Day Treatment Centers for Children	5,078,746	5,233,167	5,399,764	5,480,760	5,399,764	5,480,760
Community Emergency Services	619,658	630,041	644,532	654,200	423,967	426,576
Family Violence Outreach and Counseling	452,240	483,166	491,743	498,759	491,743	498,759
Health and Community Services	795,402	846,056	903,822	898,761	0	0
Support for Recovering Families	0	0	0	0	1,610,165	1,634,318
Substance Abuse Treatment	2,217,781	2,313,732	2,353,214	2,388,512	2,647,822	2,687,538
Individualized Family Supports	0	850,000	869,550	882,593	869,550	882,593
Community KidCare	0	2,600,000	10,854,800	20,944,945	10,854,800	20,944,945
Total - General Fund	23,982,547	29,362,674	38,735,583	49,133,348	39,613,032	50,038,826
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	50,000	0
Total - All Funds	23,982,547	29,362,674	38,735,583	49,133,348	39,663,032	50,038,826
Behavioral Health - Out-of-Home Services General Fund						
011 Short Term Residential Treatment	615,897	625,135	639,647	649,242	639,647	649,242
Grant Payments - Other Than Towns						
No Nexus Special Education	4,502,216	5,177,544	6,092,364	6,183,750	6,092,364	6,183,750
Substance Abuse Treatment	428,814	412,134	435,347	441,877	0	0
Board and Care for Children-Foster	13,231,852	15,410,513	14,917,583	15,052,921	14,917,583	15,052,921
Board and Care for Children- Residential	80,945,114	90,424,576	119,391,050	123,431,054	118,849,076	124,041,533
Community KidCare	0	900,000	920,700	934,312	920,700	934,312
Total - General Fund	99,723,893	112,949,902	142,396,691	146,693,156	141,419,370	146,861,758
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	1,050,000	0
Total - All Funds	99,723,893	112,949,902	142,396,691	146,693,156	142,469,370	146,861,758
Behavioral Health - State Operated Facilities						
Permanent Full-Time Positions GF	417	417	412	412	412	412
General Fund						
Personal Services	24,786,244	26,062,396	29,058,074	30,528,883	29,058,074	30,528,883
Other Expenses	2,539,240	2,711,060	2,175,971	2,175,250	2,175,971	2,175,250
Equipment	96,613	0	0	0	0	0

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Grant Payments - Other Than Towns						
Board and Care for Children- Residential	296,175	293,292	388,685	407,084	388,685	407,084
Total - General Fund	27,718,272	29,066,748	31,622,730	33,111,217	31,622,730	33,111,217
Federal Contributions						
Special Education Grants to States	67,099	60,754	60,754	60,754	60,754	60,754
Additional Funds Available						
Special Funds, Non-Appropriated	251,446	0	0	0	0	0
Bond Funds	25,538	0	0	0	0	0
Private Contributions	236,647	0	0	0	0	0
Total - Additional Funds Available	513,631	0	0	0	0	0
Total - All Funds	28,299,002	29,127,502	31,683,484	33,171,971	31,683,484	33,171,971
Juvenile Justice - Community Based Services						
Permanent Full-Time Positions GF	73	73	73	73	73	73
General Fund						
Personal Services	1,550,978	2,994,670	3,787,238	4,019,805	3,787,238	4,019,805
Other Expenses	244,000	277,549	666,575	666,354	666,575	666,354
Grant Payments - Other Than Towns						
Juvenile Justice Outreach Services	0	0	0	0	1,825,355	1,828,827
Health and Community Services	331,474	399,154	379,481	399,921	0	0
Juvenile Case Management Collaborative	568,447	579,937	1,395,750	1,393,543	0	0
Board and Care for Children- Residential	975,344	1,249,485	139,122	1,407,173	0	0
Total - General Fund	3,670,243	5,500,795	6,368,166	7,886,796	6,279,168	6,514,986
Juvenile Justice - Out-of-Home Services						
General Fund						
035 Wilderness School Program	11,234	12,031	12,762	13,536	0	0
Grant Payments - Other Than Towns						
Board and Care for Children-Foster	252,937	252,849	254,855	256,543	254,855	256,543
Board and Care for Children- Residential	0	0	0	0	1,099,563	1,116,056
Total - General Fund	264,171	264,880	267,617	270,079	1,354,418	1,372,599
Juvenile Justice - State Operated Facilities						
Permanent Full-Time Positions GF	420	420	420	420	420	420
General Fund						
Personal Services	22,551,193	21,487,094	25,973,601	27,420,926	25,973,601	27,420,926
Other Expenses	2,323,363	2,734,870	9,058,260	9,128,258	9,058,260	9,128,258
Equipment	9,454	0	0	0	0	0
Total - General Fund	24,884,010	24,221,964	35,031,861	36,549,184	35,031,861	36,549,184
Additional Funds Available						
Carry Forward - FY 01 Surplus Appropriations	0	0	2,600,000	0	1,300,000	0
Carry Forward - FY 00 Surplus Appropriations	0	1,700,000	0	0	0	0
Total - Additional Funds Available	0	1,700,000	2,600,000	0	1,300,000	0
Total - All Funds	24,884,010	25,921,964	37,631,861	36,549,184	36,331,861	36,549,184
Prevention						
Permanent Full-Time Positions GF	11	11	11	11	11	11
General Fund						
Personal Services	326,911	336,718	683,281	716,207	683,281	716,207
Other Expenses	25,000	25,000	22,529	22,522	22,529	22,522
035 Wilderness School Program	44,937	48,125	51,047	54,148	0	0
036 Children's Trust Fund	1,121	0	0	0	0	0
Grant Payments - Other Than Towns						
Community Based Prevention Programs	2,579,706	2,579,534	2,708,366	2,750,117	2,858,366	2,900,117
Total - General Fund	2,977,675	2,989,377	3,465,223	3,542,994	3,564,176	3,638,846
Administration						
Permanent Full-Time Positions GF/OF	290/33	331/0	331/33	331/33	331/33	331/33
General Fund						
Personal Services	17,068,714	19,328,417	21,248,651	22,303,986	21,350,734	22,412,258
Other Expenses	9,077,877	8,403,419	7,529,970	7,527,475	7,529,970	7,527,475
Equipment	529,041	1,000	1,000	1,000	1,000	1,000
039 Workers' Compensation Claims	3,341,591	3,697,865	2,840,827	2,970,057	2,840,827	2,970,057
050 Year 2000 Conversion	4,587,389	0	0	0	0	0
Total - General Fund	34,604,612	31,430,701	31,620,448	32,802,518	31,722,531	32,910,790

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
Federal Contributions						
PartE-State Challenge Activities	25,000	50,000	0	0	0	0
Drug Control & System Imprpr Gt	165,559	200,000	200,000	200,000	200,000	200,000
Neglected & Delinquent Children	74,225	0	0	0	0	0
Special Education Grants to States	99,305	200,842	200,842	200,842	200,842	200,842
Voc Educ-Basic Grants to States	2,835	4,245	4,245	4,245	4,245	4,245
Drug Free Schools	9,654	10,543	10,543	10,543	10,543	10,543
Goals 2000	20,103	25,000	25,000	25,000	25,000	25,000
ESEA Title VI	3,249	2,364	2,364	2,364	2,364	2,364
Family Preservation/Support Serv	1,805,545	2,488,651	2,349,394	2,435,000	2,349,394	2,435,000
Refugee Services	12,216	0	0	0	0	0
Childrens Justice Grants to St	108,861	125,706	0	0	0	0
Child Welfare Services-St Gts	2,316,655	2,397,750	2,481,650	2,568,500	2,481,650	2,568,500
Adoption Opportunities	160,351	300,000	300,000	300,000	300,000	300,000
Foster Care-Title IV-E	76,605	0	0	0	0	0
Social Services Block Grant	4,407,387	3,500,000	3,500,000	3,500,000	3,500,000	3,500,000
Child Abuse & Neglect-St Gts	316,448	616,869	537,317	529,695	537,317	529,695
Child Abuse Challenge Grant	260,303	0	0	0	0	0
Independent Living	682,609	857,151	1,613,982	1,613,982	1,613,982	1,613,982
Comm Mental Hlth Serv Block Grt	1,073,364	1,198,215	1,198,215	1,198,215	1,198,215	1,198,215
Total - Federal Contributions	11,620,274	11,977,336	12,423,552	12,588,386	12,423,552	12,588,386
Additional Funds Available						
Carry Forward - FY 00 Surplus Appropriations	0	2,100,000	0	0	0	0
Special Funds, Non-Appropriated	25,734,508	16,026,393	2,500,000	2,500,000	2,500,000	2,500,000
Bond Funds	3,634,290	1,734,205	2,845,000	2,108,000	2,845,000	2,108,000
Private Contributions	538,674	257,867	249,528	255,694	249,528	255,694
Total - Additional Funds Available	29,907,472	20,118,465	5,594,528	4,863,694	5,594,528	4,863,694
Total - All Funds	76,132,358	63,526,502	49,638,528	50,254,598	49,740,611	50,362,870
Personal Services Reductions						
General Fund						
Personal Services	0	0	-1,905,094	-3,088,529	-1,905,094	-3,088,529
Less: Turnover - Personal Services - GF	0	0	-3,688,222	-3,888,286	-3,688,222	-3,888,286
GRANT PAYMENTS - OTHER THAN TOWNS						
(Recap)						
601 Health Assessment and Consultation	0	0	0	0	320,139	324,941
602 Grants for Psychiatric Clinics for Children	11,306,397	11,433,259	12,190,460	12,373,317	12,190,460	12,373,317
603 Day Treatment Centers for Children	5,078,746	5,233,167	5,399,764	5,480,760	5,399,764	5,480,760
605 Juvenile Justice Outreach Services	0	0	0	0	1,825,355	1,828,827
607 Child Abuse and Neglect Intervention	5,191,069	5,227,033	5,355,333	5,436,293	5,404,333	5,485,293
610 Community Emergency Services	619,658	630,041	644,532	654,200	423,967	426,576
611 Community Based Prevention Programs	2,579,706	2,579,534	2,708,366	2,750,117	2,858,366	2,900,117
612 Aftercare for Children	73,710	54,082	90,405	91,761	0	0
613 Family Violence Outreach and Counseling	452,240	483,166	491,743	498,759	491,743	498,759
614 Health and Community Services	1,179,414	1,332,639	1,363,194	1,382,877	0	0
615 Support for Recovering Families	0	0	0	0	1,610,165	1,634,318
616 No Nexus Special Education	4,502,216	5,177,544	6,092,364	6,183,750	6,092,364	6,183,750
617 Family Preservation Services	5,999,275	5,963,614	6,086,080	6,176,741	6,086,080	6,176,741
618 Substance Abuse Treatment	2,646,595	2,725,866	2,788,561	2,830,389	2,647,822	2,687,538
619 Child Welfare Support Services	1,890,307	2,054,090	2,568,334	2,611,062	637,264	648,776
620 Juvenile Case Management Collaborative	568,447	579,937	1,395,750	1,393,543	0	0
624 Board and Care for Children-Adoption	24,751,173	30,651,687	38,149,690	40,534,633	39,706,153	42,718,707
625 Board and Care for Children-Foster	72,521,252	72,521,146	73,804,558	74,329,725	73,804,558	74,329,725
626 Board and Care for Children- Residential	97,170,508	114,631,468	131,384,722	136,785,527	131,460,493	136,862,435
627 Individualized Family Supports	0	1,700,000	1,739,100	1,765,186	3,352,330	3,402,615
628 Community KidCare	0	3,500,000	11,775,500	21,879,257	11,775,500	21,879,257
EQUIPMENT						
005 Equipment	648,953	1,000	1,000	1,000	1,000	1,000
Agency Grand Total	489,746,472	518,920,022	563,281,684	589,796,653	565,237,147	592,579,727

BUDGET CHANGES

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY 01 Estimated Expenditures - GF	3,398	486,140,546	3,398	486,140,546	0	0	0	0
Inflation and Non-Program Changes - (B)								
Personal Services	0	15,762,650	0	27,064,105	0	0	0	0
Other Expenses	0	499,607	0	1,086,673	0	0	0	0
Equipment	0	1,345,000	0	707,000	0	0	0	0
Other Current Expenses	0	236,663	0	442,525	0	0	0	0
Grant Payments - Other than Towns	0	2,860,849	0	6,532,849	0	0	0	0
Total - General Fund	0	20,704,769	0	35,833,152	0	0	0	0

Provide FY 01 Deficiency Funding for Department of Children and Families - (B)

-(Governor) Gross funding, in the amount of \$21,122,154, is included within HB 6669 (the Governor's Proposed FY 01 Deficiency Bill) for the Department of Children and Families in recognition of projected deficiencies in the following accounts: Personal Services, \$840,000; Other Expenses, \$3,922,588, No Nexus Special Education, \$1,786,483; Board and Care for Children – Adoption; \$5,222,186; and Board and Care for Children – Residential, \$8,841,500.

Of this sum, \$2,830,000 is recommended to be appropriated from the FY 01 General Fund surplus, while \$17,782,757 is recommended to be transferred from FY 01 appropriations originally made to various other state agencies.

In addition, it is recommended that the sum of \$509,397 be transferred to the No Nexus Special Education account from other DCF line items, as follows: Substance Abuse Screening, \$35,000; Grants for Psychiatric Clinics for Children, \$400,000; Community Preventive Services, \$70,000; and Aftercare for Children, \$4,397. This results in recommended net deficiency funding of \$20,612,757 for this agency.

-(Committee) Same as Governor.

Reduce Personal Services Funding through a General Personal Services Cut and by Increasing Turnover - (B)

Turnover is the result of savings caused by (1) authorized positions being kept vacant and (2) the salary difference between employees who leave state service and their replacements. In FY 01, agency Personal Services appropriations were subject to a 1.11% holdback due to the required bottom-line Personal Services reduction of \$13.5 million.

-(Governor) Funding for Personal Services is reduced by about one percent (1 %) in FY 02 and by about one and one half percent (1.5 %) in FY 03, reducing the agency's budget by \$1,905,094 in the first year and by \$3,088,529 in the second year of the biennium. This is shown as a General Personal Services Reduction from the bottom-line of the agency's Personal Services funding. Statewide, these reductions are \$21.2 million in FY 02, about \$19.3 million in the General Fund and \$1.5 million in the Special Transportation Fund, and \$34.5 million in FY 03, about \$31.4 million in the General Fund and \$2.5 million in the Special Transportation Fund. Also, the agency's Personal Services account is reduced by

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

\$3,688,222 in FY 02 and \$3,888,286 in FY 03 by increasing turnover. The total turnover and Personal Services reductions in the agency are \$5,593,316 in FY 02 and \$6,976,815 in FY 03. In addition, the statewide General Fund budget continues to have a bottom-line Personal Services reduction of \$13.5 million for both FY 02 and FY 03. If this results in another 1.1 % holdback, the agency's Personal Services moneys would be reduced by \$2,214,969 in FY 02 and by \$2,328,286 in FY 03.

-(Committee) Same as Governor.

Personal Services	0	-1,905,094	0	-3,088,529	0	0	0	0
Less: Turnover - Personal Services	0	0	0	-202,406	0	0	0	0
Total - General Fund	0	-1,905,094	0	-3,290,935	0	0	0	0

Delay Pay Increases for Non-Union Employees - (B)

State employee managers and other non-union personnel receive pay increases through the executive pay plan and other management incentive programs. These employees are not subject to collective bargaining.

-(Governor) Funds are reduced in this agency for the salaries of non-union employees, in the amount of \$116,896 in FY 02 and \$143,043 in FY 03, by delaying annual salary increases for six months.

-(Committee) Same as Governor.

Personal Services	0	-116,896	0	-143,043	0	0	0	0
Total - General Fund	0	-116,896	0	-143,043	0	0	0	0

Reduce Overtime - (B)

The department has initiated a policy under which overtime requests require pre-approval by the employee's regional administrator, superintendent or bureau director.

-(Governor) A reduction in funding, in the amount of \$200,000 in each of FY 02 and FY 03, is recommended to reflect the achievement of efficiencies in overtime usage.

-(Committee) Same as Governor.

Personal Services	0	-200,000	0	-200,000	0	0	0	0
Total - General Fund	0	-200,000	0	-200,000	0	0	0	0

Enhance Administrative Staff/Connecticut Community KidCare - (B)

In January 2001, the Departments of Children and Families and Social Services issued a report to the General Assembly entitled "Connecticut Community KidCare: A Plan to Reform the Delivery and Financing of Children's Behavioral Health Services." The report calls for a phased-in implementation strategy, one requiring additional management and administrative capacity within the departments, as well as service infrastructure and human resource development at the local level. July 1, 2002, has been set as the target date by which the new system is to begin to be phased-in.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<p>-(Governor) Funding, in the amount of \$500,000 in each year of the biennium, is recommended to reflect the cost of ten (10) unspecified positions required to allow the agency to complete transition planning and other work in order to facilitate implementation of the Connecticut Community KidCare reform.</p> <p>-(Committee) Same as Governor.</p>								
Personal Services	10	500,000	10	500,000	0	0	0	0
Total - General Fund	10	500,000	10	500,000	0	0	0	0

Expenditure Update/Annualization - State Facility Nursing Staff - (B)

High Meadows, located in Hamden, has three mental health treatment units and offers emergency diagnostic and treatment services. It has a bed capacity of 42.

Riverview Hospital, located in Middletown, offers in-patient services in seven acute, locked, co-ed units and one sub-acute unit for children ages 5 to 18. Riverview Hospital has 98 beds.

Connecticut Children's Place, located in East Windsor, is a 42-bed residential diagnostic center for children and youth, ages 10 to 18, in need of protection due to abuse, neglect, abandonment, unmanageable behavior or sudden disruption in their current placement or residence.

-(Governor) Funding, in the amount of \$222,373 in each of FY 02 and FY 03, is recommended to reflect the annualized cost of additional part-time nursing staff first hired in FY 01 to provide adequate third-shift coverage at Connecticut Children's Place, High Meadows and Riverview Hospital.

-(Committee) Same as Governor.

Personal Services	0	222,373	0	222,373	0	0	0	0
Total - General Fund	0	222,373	0	222,373	0	0	0	0

Fund Excess Energy Costs from FY 01 Surplus - (B)

Fuel and utility costs have increased significantly over the last year and are anticipated to exceed the standard rate of inflation in the foreseeable future.

-(Governor) Funding for the agency's fuel and utility costs are reduced by \$1,312,649 in each of FY 02 and FY 03. Energy costs are anticipated to increase more than the standard rate of inflation. The excess cost increases are to be funded through FY 01 surplus funds provided in a \$41 million Energy Contingency Fund in the Office of Policy and Management. Statewide, the budget reductions are \$13.1 million in FY 02, about \$10.3 million in the General Fund and \$2.8 million in the Special Transportation Fund, and \$13.7 million in FY 03, about \$10.9 million in the General Fund and \$2.8 million in the Special Transportation Fund.

-(Committee) Same as Governor.

Other Expenses	0	-1,312,649	0	-1,312,649	0	0	0	0
Total - General Fund	0	-1,312,649	0	-1,312,649	0	0	0	0

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Maintain Female Population at Long Lane School - (B)

The governor proposes building a separate juvenile training facility for girls. A new bond authorization of \$11 million is recommended, which, when added to a prior authorization of \$9 million, makes available \$20 million for planning and construction of a new fifty (50) bed facility. It is anticipated that the new facility would become operational during FY 04. In the meantime, the governor recommends that the female population will remain on the grounds of the old Long Lane School.

-(Governor) A net reduction in funding, in the amount of \$122,300 in FY 02, is recommended to reflect retention of female clients on the grounds of the old Long Lane School during the upcoming biennium. Included in this sum are:

- \$792,700 to reflect staffing and other expenses costs required due to continued occupancy of Long Lane School, and
- Offsetting savings of \$915,000 from averted placements in private residential facilities.

A net reduction of \$230,000 is recommended in FY 03 to reflect:

- Annualized operating costs of \$1,232,000, and
- Offsetting savings of \$1,462,000 from averted placements.

-(Committee) A net reduction in funding, in the amount of \$122,300 in FY 02, is provided to reflect retention of female clients on state property during the upcoming biennium. It is the intent of the committee that the commissioner investigate alternatives to continued use of the old Long Lane School. Included in this sum are:

- \$792,700 to reflect staffing and other expenses costs required due to continued occupancy on state property, and
- Offsetting savings of \$915,000 from averted placements in private residential facilities.

A net reduction of \$230,000 is recommended in FY 03 to reflect:

- Annualized operating costs of \$1,232,000, and
- Offsetting savings of \$1,462,000 from averted placements.

Personal Services	0	747,000	0	1,159,000	0	0	0	0
Other Expenses	0	45,700	0	73,000	0	0	0	0
Board and Care for Children- Residential	0	-915,000	0	-1,462,000	0	0	0	0
Total - General Fund	0	-122,300	0	-230,000	0	0	0	0

Expenditure Update/Annualization - Privatize Psychiatric Services at Long Lane School - (B)

The agency has experienced difficulties recruiting for authorized state psychiatrist positions at Long Lane School.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
<p>-(Governor) Net funding of \$257,967 in each of FY 02 and FY 03 is recommended to reflect costs (\$804,279) of retaining outside professional psychiatric services for clients at Long Lane School. Five (5) currently vacant positions (2 Psychiatrist 4, 1 Psychiatrist 3, 2 Psychiatrist 1) will be eliminated, resulting in offsetting savings of \$546,312.</p> <p>-(Committee) Same as Governor.</p>								
Personal Services	-5	-546,312	-5	-546,312	0	0	0	0
Other Expenses	0	804,279	0	804,279	0	0	0	0
Total - General Fund	-5	257,967	-5	257,967	0	0	0	0

Fund Connecticut Juvenile Training School Operating Costs - (B)

The new Connecticut Juvenile Training School (in Middletown) is scheduled to open over the first six months of FY 02. By the end of 2001, the 240-bed facility should be fully operational.

-(Governor) Funding, in the amount of \$1,564,344 in each of FY 02 and FY 03, is recommended to support the operating costs of the new Connecticut Juvenile Training School.

-(Committee) Same as Governor.

Other Expenses	0	1,564,344	0	1,564,344	0	0	0	0
Total - General Fund	0	1,564,344	0	1,564,344	0	0	0	0

Fund Cogeneration Plant/CJTS - (B)

A cogeneration plant is currently under construction at the Connecticut Juvenile Training School. Cogeneration involves the generation of electricity and useful heat from the same power plant.

-(Governor) Funding, in the amount of \$1,915,000 in FY 02, is recommended to support the operating costs of a new energy cogeneration plant at the Connecticut Juvenile Training School. Included in this sum are \$1,340,000 for operation and maintenance costs, and \$575,000 for fuel related to the plant. In FY 03 a total of \$1,950,610 is recommended, reflecting an increase in the operation and maintenance costs to \$1,375,610.

-(Committee) Same as Governor.

Other Expenses	0	1,915,000	0	1,950,610	0	0	0	0
Total - General Fund	0	1,915,000	0	1,950,610	0	0	0	0

Complete Transition Plan/CJTS - (B)

The sum of \$2.7 million was provided from the FY 00 General Fund surplus to support the one-time costs of transitioning staff and clients from Long Lane School to the new Connecticut Juvenile Training School. This represented a reduction of \$2.25 million from the amount originally recommended by the governor for this purpose.

-(Governor) It is recommended that the sum of \$1.5 million be dedicated from the FY 01 General Fund surplus for the one-time costs of completing the transition of staff and clients from Long Lane School to the new Connecticut Juvenile Training School.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Section 47 of Proposed Bill 6668 will be required to implement this change.

The governor further recommends the carry forward into FY 02 of the unexpended balance of funds dedicated from the FY 00 General Fund surplus for this same purpose. Section 46 of Proposed Bill 6668 will be required to implement this change.

-(Committee) The sum of \$750,000 is provided from the FY 01 General Fund surplus for the one-time costs of completing the transition of clients from Long Lane School to the new Connecticut Juvenile Training School.

Carry Forward - FY 01 Surplus Appropriations	0	1,500,000	0	0	0	-750,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	1,500,000	0	0	0	-750,000	0	0

Create Computerized Tracking System/CJTS - (B)

A new computer system being developed for the Connecticut Juvenile Training School will allow for the creation of a fully consolidated youth record for use by staff. This new system will allow for the downloading of the youth's record from the Judicial Department at the time of commitment to the agency and for the addition of medical, clinical and case management information, providing a comprehensive view of the child and his needs. The proposed system will also enable the department (with written consent) to track youth for between three to five years after they have stopped receiving agency services, thus providing outcome data needed to evaluate services provided at the training school, as well as by community-based service providers.

-(Governor) It is recommended that the sum of \$1.1 million be dedicated from the FY 01 General Fund surplus for the one-time costs of creating a computerized case management record system for youth being served at the Connecticut Juvenile Training School. Section 47 of Proposed Bill 6668 will be required to implement this change.

-(Committee) The sum of \$550,000 is provided from the FY 01 General Fund surplus for the one-time costs of creating a computerized case management record system at the Connecticut Juvenile Training School.

Carry Forward - FY 01 Surplus Appropriations	0	1,100,000	0	0	0	-550,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	1,100,000	0	0	0	-550,000	0	0

Fund Job Corps Case Manager - (B)

Job Corps, a public-private partnership administered by the federal Department of Labor, is the nations' largest and most comprehensive residential education and job training program for at-risk youth. The Connecticut Job Corps Center is located in New Haven.

-(Governor) Funding, in the amount of \$75,000, is recommended in each of FY 02 and FY 03 to support the costs of a case manager to work with youth referred by the department to Job Corps services. This funding represents a portion of a required \$750,000 state match required in order to assure

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
continued federal support of the Connecticut Job Corps Center.								
-(Committee) Same as Governor.								
Other Expenses	0	75,000	0	75,000	0	0	0	0
Total - General Fund	0	75,000	0	75,000	0	0	0	0

Replace Equipment through the Capital Equipment Purchase Fund - (B)

The Capital Equipment Purchase Fund (CEPF) is authorized by CGS Section 4a-9 and is used for the purchase of equipment with a useful life of at least five years. It is financed through the sale of short-term bonds and is administered by the Office of Policy and Management. It has been used to reduce General Fund equipment purchases since FY 94. Over the last four years the CEPF has purchased about \$80 million in equipment for state agencies, over half of which is for information technology, computers and networks.

-(Governor) Funding for various equipment items is removed from the General Fund and is to be provided by the CEPF (Bond Funds). This reduces the agency's funding by \$1,345,000 in FY 02 and by \$707,000 in FY 03. Equipment funding in the amount of \$1,000 remains in the agency's budget for each fiscal year. Statewide, the General Fund budget reductions are about \$21.9 million in FY 02 and about \$17.4 million in FY 03. It reduces the current services equipment budgets for four criminal justice system agencies (Judicial, Correction, Public Defender and Criminal Justice) by over 66 %, and reduces the equipment budgets for all other General Fund agencies by over 98 %. The four criminal justice agencies account for 94.3 % and 95.8 % of the total statewide General Fund governor's recommended equipment funds in FY 02 and FY 03, respectively.

-(Committee) Same as Governor.

Equipment	0	-1,345,000	0	-707,000	0	0	0	0
Total - General Fund	0	-1,345,000	0	-707,000	0	0	0	0

Reduce Workers' Compensation Claims by Transferring Old Cases to a Private Insurance Company - (B)

The state is self-insured for the Workers' Compensation (WC) Claims costs for its employees. Appropriations for WC Claims costs are made to the five state agencies with the greatest WC costs and to the Department of Administrative Services WC Claims account for all other state agencies. The agencies receiving direct appropriations are the Departments of Correction, Mental Retardation, Mental Health and Addiction Services, Children and Families, and Public Safety. The total state WC Claims expenditures for FY 00 were about \$53.4 million.

-(Governor) Funding for the agency's Workers' Compensation Claims payments is reduced by \$801,065 in FY 02 and by \$788,726 in FY 03 as the result of transferring the 700 oldest and most expensive claims to a private insurance company. It is estimated that 10% of claims account for 25% of the annual costs. These claims are estimated to have a total liability of \$127 million to \$160 million. It is

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

anticipated that a private insurer would take responsibility for these claims for a cost of about \$80 million. This would be paid from \$60 million of bonding (to the Department of Administrative Services) and \$20 million in FY 01 surplus funds. Statewide, the cost reductions to operating budgets are about \$13.5 million in both FY 02 and FY 03, about \$12.6 million in the General Fund and about \$0.9 million in the Special Transportation Fund. Each agency's WC Claims appropriation is reduced by about 22 % in FY 02 and by about 21 % in FY 03.

-(Committee) Funding for the agency's Workers' Compensation Claims payments is reduced by \$801,065 in FY 02 and by \$788,726 in FY 03 as the result of transferring the 700 oldest and most expensive claims to a private insurance company. It is estimated that 10% of claims account for 25% of the annual costs. These claims are estimated to have a total liability of \$127 million to \$160 million. It is anticipated that a private insurer would take responsibility for these claims for a cost of about \$80 million. This would be paid from bonding. Statewide, the cost reductions to operating budgets are about \$13.5 million in both FY 02 and FY 03, about \$12.6 million in the General Fund and about \$0.9 million in the Special Transportation Fund. Each agency's WC Claims appropriation is reduced by about 22 % in FY 02 and by about 21 % in FY 03.

Workers' Compensation Claims	0	-801,065	0	-788,726	0	0	0	0
Total - General Fund	0	-801,065	0	-788,726	0	0	0	0

Pickup with State Funds/Multi-Systemic Therapy - (B)

Multi-Systemic Therapy (MST) is an intensive family and community-based treatment that addresses the multiple determinants of serious antisocial behavior in juvenile offenders. The multi-systemic approach views individuals as being nested within a complex network of interconnected systems that encompass individual, family and extra familial (peer, school, neighborhood) factors. Intervention may be necessary in any one or a combination of these systems.

-(Governor) A transfer of funding between accounts, in the amount of \$467,000 in FY 02 and \$478,208 in FY 03, is recommended to reflect a reallocation of moneys from Outreach and Tracking programs to Multi-Systemic Therapy (MST) services. This transfer is required to maintain current MST programming. In FY 01, \$419,488 from federal Juvenile Accountability Incentive Block Grant funds were combined with \$46,610 in state funds available under the Office of Policy and Management to provide \$466,098 for MST training and service expansion. These funds were intended to be of a one-time nature.

-(Committee) Same as Governor.

Child Welfare Support Services	0	467,000	0	478,208	0	0	0	0
Juvenile Case Management Collaborative	0	-467,000	0	-478,208	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Expand Juvenile Justice Assessment and Stabilization Program - (B)

The Assessment and Stabilization Program (also known as Parole Revocation) provides short-term staff secured residential treatment for delinquent clients who have violated the terms of their parole agreements.

Services include: treatment planning; health and mental health care; substance abuse services; education, including special education; recreation; community safety and service; moral education; vocational readiness, cognitive behavioral groups; and violence prevention.

On any given day, the department has between 650 and 750 youth on parole supervision. Thirty (30) youth are currently served by the Assessment and Stabilization Program.

-(Governor) Funding, in the amount of \$1,099,563 in FY 02, is recommended to reflect the cost of 10 new Assessment and Stabilization Program residential beds. An additional \$43,972 (for a cumulative total of \$1,143,535) is recommended in FY 03 to reflect the annualized cost of this service expansion.

-(Committee) Same as Governor.

Board and Care for Children- Residential	0	1,099,563	0	1,143,535	0	0	0	0
Total - General Fund	0	1,099,563	0	1,143,535	0	0	0	0

Enhance Community-Based Behavioral Health Services/Connecticut Community KidCare - (B)

The Departments of Children and Families (DCF) and Social Services (DSS) have embarked upon a reform of the delivery and financing of children's behavioral health services. The Connecticut Community KidCare Initiative will rely upon a local service delivery system, placing greater emphasis on preventing a child's problems from escalating by expanding community-based care.

-(Governor) A net increase in funding, in the amount of \$8,495,000 in FY 02, is recommended to support enhanced community-based children's behavioral health services.

After adjusting for inflation (of \$80,500) and a transfer of \$300,000 to the Department of Education to support a primary mental health grant program (for further information, refer to the narrative entitled *Transfer Community KidCare Funds to Department of Education*) this increases the FY 01 appropriation (of \$3,500,000) for the Community KidCare account to \$11,775,500 in FY 02. The governor recommends that \$10,545,000 of this sum be dedicated to:

- Emergency Mobile Psychiatric Services;
- Crisis Stabilization Beds;
- Psychiatric Care and Medication Management;
- Extended Day Treatment;
- Care Coordinators;
- Home-Based Services;
- Therapeutic Respite Care;
- Increased Reimbursement to In-State Private Residential Providers in Recognition of Increasing Complexity of DCF-Placed Children and Adolescents.

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

It is recommended that \$2,050,000 available and not otherwise obligated within current services under the Community KidCare account be dedicated to these service enhancements, thus reducing the governor's net funding expansion to \$8,495,000 in the first year of the biennium.

In FY 03, an additional \$9.8 million (for a cumulative total of \$18,295,000) is recommended to continue these service enhancements.

In addition, the governor recommends the incorporation and expansion of \$400,000 first appropriated in FY 01 to enhance child guidance clinic services to the Connecticut Community KidCare Initiative. It should be noted that this funding was withheld via the governor's FY 01 allotment reductions. It had been legislative intent that the \$400,000 be distributed proportionately among child guidance clinics based on their FY 00 awards. These dollars are appropriated under the Grants for Psychiatric Clinics for Children (602) account.

The governor also recommends that \$950,000 currently dedicated to emergency mobile psychiatric services (also funded through the 602 account) be incorporated into the Connecticut Community KidCare initiative

-(Committee) A net increase in funding, in the amount of \$8,495,000 in FY 02, is provided to support enhanced community-based children's behavioral health services.

After adjusting for inflation (of \$80,500) and a transfer of \$300,000 to the Department of Education to support a primary mental health grant program (for further information, refer to the narrative entitled *Transfer Community KidCare Funds to Department of Education*) this increases the FY 01 appropriation (of \$3,500,000) for the Community KidCare account to \$11,775,500 in FY 02. A further increase of \$9.8 million is provided in FY 03 (for a cumulative total of \$18,295,000 in new funding, and a revised total for the Community KidCare account of \$21,879,257 after adjusting for inflation).

It is the intent of the committee that these monies be dedicated to:

Establishment of Two (2) Lead Service Agencies (LSAs)

The sum of \$650,000 is provided in each of FY 02 and FY 03 to support the administrative costs of one LSA serving a moderate to large population area and another LSA serving a small population area. The department shall retain an outside consultant to evaluate the effectiveness of the service delivery system in areas having an LSA as compared those without an LSA. An interim report shall be provided to the Joint Standing Committees on Appropriations, Human Services and Public Health by January 1, 2002. The agency shall submit annually thereafter, on January 1st, an updated report containing findings and recommendations for improvements to the children's behavioral health delivery system.

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Community-Based Service Enhancements

The sum of \$9,500,500 is provided in FY 02 to enhance community-based behavioral health services statewide. These funds will support a phased-in expansion by department region, as follows: a first region as of August 2001; a second region as of December 2001; a third region as of March 2002; and a fourth region as of April 2002. The fifth region will implement service enhancements as of July 2002. Core services to be developed include extended day treatment, emergency mobile psychiatric services, therapeutic respite care, home based services, and care coordination.

In addition, these funds will support a statewide expansion of psychiatric services for children, additional dollars for enhanced extended day treatment services, and partial year funding for crisis stabilization beds.

An additional \$9,199,497 is provided in FY 03 (for a cumulative total of \$18,699,997) to reflect ongoing costs of community-based service enhancements.

Administrative Costs

The sum of \$1,625,000 is provided in FY 02 to support various administrative efforts associated with implementation of the Connecticut Community KidCare Initiative. An additional \$904,260 (for a cumulative total of \$2,529,260) is provided in FY 03 to support these efforts in the second year of the biennium.

Restore FY 01 Child Guidance Clinic Enhancement Funds

The committee directs the commissioner to distribute \$400,000 (first appropriated in FY 01 and subsequently withheld via the governor's allotment reductions) proportionately to child guidance clinics based on their FY 01 awards. These dollars are appropriated under the Grants for Psychiatric Clinics for Children (602) account.

Community KidCare	0	8,495,000	0	18,295,000	0	0	0	0
Total - General Fund	0	8,495,000	0	18,295,000	0	0	0	0

Transfer Community KidCare Funds to Department of Education - (B)

The Department of Education's Primary Mental Health Grant Program provides training to local school districts in the recognition of mental health problems in students in order to allow for intervention prior to the development of long lasting educational problems.

-(Governor) A transfer of \$300,000 from the Community KidCare account to the Department of Education to support an expansion of its Primary Mental Health Grant Program is recommended in each year of the biennium.

-(Committee) Same as Governor.

Community KidCare	0	-300,000	0	-300,000	0	0	0	0
Total - General Fund	0	-300,000	0	-300,000	0	0	0	0

	Governor's FY 02	Governor's FY 03	Leg. Change FY 02	Leg. Change FY 03
Pos.	Amount	Pos.	Amount	Pos.

Increase Subsidized Adoption Rates - (B)

The Department of Children and Families provides subsidy payments to parents adopting a special needs child. A special needs child is one which is difficult to place in adoption because of the presence of one or more conditions including, but not limited to, physical or mental disability, serious emotional maladjustment, high risk of physical or mental disability, age, racial, ethnic, or other factors.

-(Governor) Funding, in the amount of \$670,567, is recommended to increase FY 02 rates paid to families that care for adopted children by 2.3 percent, effective July 1, 2001. A further increase of \$465,026 (for a cumulative total of \$1,135,593) is recommended in FY 03 to reflect a 1.5 percent rate increase, effective July 1, 2002.

-(Committee) Same as Governor.

Board and Care for Children-Adoption	0	670,567	0	1,135,593	0	0	0	0
Total - General Fund	0	670,567	0	1,135,593	0	0	0	0

Increase Foster and Subsidized Guardianship Rates - (B)

Pursuant to the DCF Consent Decree, the department is required to develop and implement schedules for reimbursement to foster parents at one hundred percent of the United States Department of Agriculture's (USDA) cost of raising a child in the Northeast. An agreement has been reached with the DCF Court Monitor to increase foster care rates on a biennial basis. Current rates have been in effect since September 1999.

Pursuant to Section 17a-126(c) C.G.S., children in subsidized guardianship placements are entitled to a monthly subsidy, which shall equal the applicable prevailing foster care rate, less the child's assets and income.

-(Governor) Funding, in the amount of \$2,309,590, is recommended to increase monthly maintenance payments for foster care and subsidized guardianship by an average of approximately 4.05 percent, effective July 1, 2001. This will result in FY 02 reimbursement of approximately one hundred percent of the United States Department of Agriculture's (USDA) cost of raising a child in the Northeast.

An equivalent increase is recommended in FY 03 to reflect continued support for these rate increases in the second year of the biennium.

-(Committee) Same as Governor.

Board and Care for Children-Adoption	0	168,320	0	168,320	0	0	0	0
Board and Care for Children-Foster	0	2,141,270	0	2,141,270	0	0	0	0
Total - General Fund	0	2,309,590	0	2,309,590	0	0	0	0

Expenditure Update-Single Cost Accounting Rate Increases - (B)

Pursuant to regulation, the Department of Children and Families (DCF) shall reimburse, on a per diem basis for residential care, each treatment center for the residential care of children under the supervision

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

of the commissioner. For each private residential treatment center licensed by the DCF, the system for determining per diem payment rates is known as the Single Cost Accounting System. Under the system, increases in the allowable residential care cost components over the previous contract year are limited to the increase in the consumer price index plus 2% or the actual increase in audited allowable costs.

-(Governor) Funding, in the amount of \$1.5 million in FY 02, is recommended to provide moneys necessary to pay increased per diem reimbursement costs to private residential treatment facilities whose rates are established in accordance with the DCF's Single Cost Accounting regulations. An additional \$1.5 million is recommended in FY 03 (for a cumulative total of \$3.0 million) to reflect the second year costs of compliance with these regulations.

-(Committee) Same as Governor.

Board and Care for Children- Residential	0	1,500,000	0	3,000,000	0	0	0	0
Total - General Fund	0	1,500,000	0	3,000,000	0	0	0	0

Expenditure Update/Board and Care for Children - (B)

-(Governor) Net funding, in the amount of \$17,613,072 in FY 02 and \$25,268,327 in FY 03, is recommended to reflect more accurately anticipated costs and caseloads.

-(Committee) Same as Governor.

No Nexus Special Education	0	500,000	0	500,000	0	0	0	0
Board and Care for Children-Adoption	0	6,398,267	0	8,318,184	0	0	0	0
Board and Care for Children-Foster	0	-2,494,390	0	-2,961,479	0	0	0	0
Board and Care for Children- Residential	0	13,209,195	0	19,411,622	0	0	0	0
Total - General Fund	0	17,613,072	0	25,268,327	0	0	0	0

Expenditure Update/Annualization-Specialized Residential Beds - (B)

During FY 01, the department entered into a contract with the Saint Francis Care Behavioral Health system to establish specialized residential services for children and adolescents. A total of seventy-five (75) beds for cognitively challenged, psychiatrically impaired and behaviorally disordered children are anticipated to be available on the contractor's Portland campus by June 30, 2001. This contract was sought in an attempt to reduce reliance upon out-of-state residential placements.

-(Governor) Net funding, in the amount of \$6,005,000 in FY 02 and \$4,855,000 in FY 03, is recommended to reflect the annualized cost of seventy-five (75) specialized residential beds, opened during FY 01, which were not funded in the Revised FY 01 Budget. The total annual cost of these new in-state beds is \$9,855,000. Offsetting savings of \$3,850,000 in FY 02 and \$5,000,000 in FY 03 are assumed, based upon an expectation that out-of-state residential placements will drop by sixty-five (65) over the biennium.

-(Committee) Same as Governor.

Board and Care for Children- Residential	0	6,005,000	0	4,855,000	0	0	0	0
Total - General Fund	0	6,005,000	0	4,855,000	0	0	0	0

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Consolidate Funding/Contractual Services - (B)

The department anticipates transferring funds between various budget line items (via Finance Advisory Committee (FAC) action) in FY 01 to more appropriately account for existing contracts by service type.

-(Governor) Transfers between selected budget accounts are recommended to annualize the realignment of financial support for certain grantees and consolidate accounting for similar services.

The following transfers are recommended:

1. \$1,262,686 from the Board and Care for Children – Residential account to the Juvenile Case Management Collaborative account to reflect consolidation of support for five (5) outreach and tracking contracts;
2. \$51,000 from the Community Preventive Services account to the Health and Community Services account. This was made possible by the closing of one family support program, which allowed the department to enhance support for services to substance abusing families at risk;
3. \$24,000 from the Community Preventive Services account to the Family Violence Services account. This was made possible by the closing of one family support program, which allowed the department to enhance support for family violence services; and
4. \$42,021 from the Treatment and Prevention of Child Abuse account to the Family Preservation Services account to reflect the reallocation of available dollars to support intensive family preservation services.

-(Committee) Same as Governor.

Child Abuse and Neglect Intervention	0	-42,021	0	-42,021	0	0	0	0
Community Based Prevention Programs	0	-75,000	0	-75,000	0	0	0	0
Family Violence Outreach and Counseling	0	24,000	0	24,000	0	0	0	0
Health and Community Services	0	51,000	0	51,000	0	0	0	0
Family Preservation Services	0	42,021	0	42,021	0	0	0	0
Juvenile Case Management Collaborative	0	1,262,686	0	1,262,686	0	0	0	0
Board and Care for Children- Residential	0	-1,262,686	0	-1,262,686	0	0	0	0
Total - General Fund	0	0	0	0	0	0	0	0

Eliminate Inflationary Increases - (B)

The budget guidelines provided by the Office of Policy and Management instructed state agencies to add allowances for general inflation in Other Expenses and various other accounts of 2.3% in FY 02 and 2.4% in FY 03. These increases would be necessary to maintain the same level of services if the general rate of inflation matches these rates.

-(Governor) Funding for inflationary increases in various accounts is eliminated. This reduces the agency's funding by \$739,377 in FY 02 and by \$1,466,810 in FY 03. Statewide, these reductions for all funds total \$18.4 million in FY 02 and \$39 million in FY 03.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
-(Committee) Same as Governor.								
Other Expenses	0	-605,656	0	-1,192,722	0	0	0	0
Wilderness School Program	0	-11	0	-22	0	0	0	0
Board and Care for Children-Adoption	0	-27,255	0	-56,349	0	0	0	0
Board and Care for Children-Foster	0	-106,455	0	-217,717	0	0	0	0
Total - General Fund	0	-739,377	0	-1,466,810	0	0	0	0

Limit FY 03 Private Provider COLA to 1.5 Percent - (B)

The Governor is proposing a 2.3 percent cost of living adjustment (COLA) in FY 02 and a 1.5 percent COLA in FY 03 for most private providers under contract with the Departments of Children and Families, Correction, Mental Health and Addiction Services (DMHAS), and Mental Retardation, the Judicial Department, the Board of Parole and the Council to Administer the Children's Trust Fund. An exemption is made for DMHAS medical providers, which will receive a 5.3 percent COLA in FY 02.

-(Governor) A reduction in funding, in the amount of \$2,200,495, is recommended in FY 03 to reflect reducing private provider cost of living adjustments from 2.4 percent to 1.5 percent in the second year of the biennium.

-(Committee) A reduction in funding, in the amount of \$2,200,495, is recommended in FY 03 to reflect reducing private provider cost of living adjustments from 2.4 percent to 1.5 percent in the second year of the biennium.

It should also be noted that FY 01 surplus funding was provided in the Office of Policy and Management (OPM) for private provider cost of living increases in FY 02 and FY 03. This additional funding will provide the private providers with a total COLA of 4% in FY 02 and an additional 1% in FY 03. This funding will be transferred from OPM to the respective departments to supplement the governor's recommended funding.

Short Term Residential Treatment	0	0	0	-5,757	0	0	0	0
Substance Abuse Screening	0	0	0	-15,684	0	0	0	0
Local Systems of Care	0	0	0	-9,598	0	0	0	0
Grants for Psychiatric Clinics for Children	0	0	0	-109,714	0	0	0	0
Day Treatment Centers for Children	0	0	0	-48,598	0	0	0	0
Child Abuse and Neglect Intervention	0	0	0	-48,576	0	0	0	0
Community Emergency Services	0	0	0	-5,801	0	0	0	0
Community Based Prevention Programs	0	0	0	-25,050	0	0	0	0
Aftercare for Children	0	0	0	-814	0	0	0	0
Family Violence Outreach and Counseling	0	0	0	-4,210	0	0	0	0
Health and Community Services	0	0	0	-11,810	0	0	0	0
No Nexus Special Education	0	0	0	-54,831	0	0	0	0
Family Preservation Services	0	0	0	-54,396	0	0	0	0
Substance Abuse Treatment	0	0	0	-25,097	0	0	0	0
Child Welfare Support Services	0	0	0	-18,912	0	0	0	0
Juvenile Case Management Collaborative	0	0	0	-5,401	0	0	0	0
Board and Care for Children-Foster	0	0	0	-134,035	0	0	0	0
Board and Care for Children- Residential	0	0	0	-1,412,784	0	0	0	0
Individualized Family Supports	0	0	0	-15,652	0	0	0	0
Community KidCare	0	0	0	-193,775	0	0	0	0
Total - General Fund	0	0	0	-2,200,495	0	0	0	0

Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Update Account Structure - (B)

In an effort to more closely align the department's budget structure with its statutorily mandated service areas – Prevention, Child Protection, Behavioral Health and Juvenile Justice – the governor has recommended a revised program budget. A further realignment of funding across line items provides for greater clarity and simplified accounting for these dollars.

-(Committee) A transfer of funds between accounts is made to simplify accounting and more accurately align dollars by service type and budget program.

Personal Services	0	0	0	0	0	102,083	0	108,272
Wilderness School Program	0	0	0	0	0	-102,083	0	-108,272
Local Systems of Care	0	0	0	0	0	97,063	0	98,519
Health Assessment and Consultation	0	0	0	0	0	320,139	0	324,941
Juvenile Justice Outreach Services	0	0	0	0	0	1,825,355	0	1,828,827
Community Emergency Services	0	0	0	0	0	-470,565	0	-477,624
Aftercare for Children	0	0	0	0	0	-90,405	0	-91,761
Health and Community Services	0	0	0	0	0	-1,363,194	0	-1,382,877
Support for Recovering Families	0	0	0	0	0	1,610,165	0	1,634,318
Substance Abuse Treatment	0	0	0	0	0	-140,739	0	-142,851
Child Welfare Support Services	0	0	0	0	0	-2,081,070	0	-2,112,286
Juvenile Case Management Collaborative	0	0	0	0	0	-1,395,750	0	-1,393,543
Board and Care for Children- Residential	0	0	0	0	0	75,771	0	76,908
Individualized Family Supports	0	0	0	0	0	1,613,230	0	1,637,429
Total - General Fund	0	0	0	0	0	0	0	0

Pickup With State Funds/System of Safety - (B)

The "SOS – System of Safety" program, a collaborative effort involving the Children's Center at Saint Francis Hospital and Prevent Child Abuse CT (a program of the Wheeler Clinic), educates parents, teachers and children to prevent, recognize and respond to all types of child maltreatment, with a special focus on child sexual abuse and the preschool population. Federal support for this program ceased at the end of September 2000.

-(Committee) Funding, in the amount of \$150,000 in each of FY 02 and FY 03, is provided to reflect the pickup with state funds of an "SOS – System of Safety" program.

Community Based Prevention Programs	0	0	0	0	0	150,000	0	150,000
Total - General Fund	0	0	0	0	0	150,000	0	150,000

Increase Support for Covenant to Care - (B)

The purpose of the Adopt a Social Worker/Families for Children program is to increase the number of African American and Latino foster and adoptive families. The FY 01 value of this contract is \$161,580.

-(Committee) Funding, in the amount of \$49,000 in each of FY 02 and FY 03, is provided to enhance support for a contract with Covenant to Care, Inc., for its Adopt a Social Worker/Families for Children program.

Child Abuse and Neglect Intervention	0	0	0	0	0	49,000	0	49,000
Total - General Fund	0	0	0	0	0	49,000	0	49,000

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Provide Support for Group Homes - (B)								
Group homes provide community-oriented care for youngsters placed by the department or the courts. Care includes counseling, education and recreation.								
-(Committee) It is the intent of the committee that \$1,050,000 be dedicated from the FY 01 General Fund surplus to support one-time grants to group homes for needed maintenance, equipment and renovations.								
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	1,050,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	1,050,000	0	0
Enhance Neighborhood Youth Centers - (B)								
Neighborhood youth centers provide safe gathering places for children. A variety of learning and enrichment activities are offered to children and their parents throughout the week, weekends and during the summer.								
-(Committee) Funding, in the amount of \$50,000 in each of FY 02 and FY 03, is provided to enhance support for a neighborhood center directed by the Yale Child Studies Center's outpatient clinic.								
Child Welfare Support Services	0	0	0	0	0	50,000	0	50,000
Total - General Fund	0	0	0	0	0	50,000	0	50,000
Study Mental Health Needs of Immigrant Children - (B)								
-(Committee) It is the intent of the committee that \$50,000 be dedicated from the FY 01 General Fund surplus for the one-time costs of a study investigating the need for mental health services by immigrant children in Connecticut.								
Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	50,000	0	0
Total - Carry Forward - FY 01 Surplus Appropriations	0	0	0	0	0	50,000	0	0
Fund Services for Homeless and Runaway Youth - (B)								
-(Committee) Funding, in the amount of \$250,000 in each of FY 02 and FY 03, is provided to establish outreach, counseling and residential services for homeless and runaway youth.								
Community Emergency Services	0	0	0	0	0	250,000	0	250,000
Total - General Fund	0	0	0	0	0	250,000	0	250,000
Establish School Drop Out Prevention Program - (B)								
A pilot dropout prevention program will provide students with resources to assist them with their barriers to graduation. Services will include, but not be limited to, counseling, mentoring and tutoring; career exploration and development; employment experience; leadership skill training; participation in a community service project; and CPR/first aid certification courses. All of these services will enhance self-esteem and self-confidence and motivate students to complete high school.								
-(Committee) Funding, in the amount of \$100,000 in each of FY 02 and FY 03, is provided to support a pilot program having as its goal the reduction of school dropout rates.								
Child Welfare Support Services	0	0	0	0	0	100,000	0	100,000
Total - General Fund	0	0	0	0	0	100,000	0	100,000

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
Create Guardianship Subsidy for Orphaned Children - (B)								
-(Committee) Funding, in the amount of \$1,556,463 in FY 02, is provided to establish a subsidized guardianship benefit for children living with a relative caregiver who has been appointed guardian due to the death of one or both of the child's parent(s). This program will be limited to families having incomes less than 200 % of the federal poverty level. The annualized cost of this new benefit is \$2,184,074 in FY 03. A statutory change will be required to implement this program.								
Board and Care for Children-Adoption	0	0	0	0	0	1,556,463	0	2,184,074
Total - General Fund	0	0	0	0	0	1,556,463	0	2,184,074
Budget Totals - GF	3,403	542,230,410	3,403	571,911,379	0	2,155,463	0	2,783,074
Budget Totals - OF	0	2,600,000	0	0	0	-200,000	0	0

Council to Administer the Children's Trust Fund 8129

	Actual Expenditure FY 00	Estimated Expenditure FY 01 (as of 2/2001)	Governor's Recommended FY 02	Governor's Recommended FY 03	Committee FY 02	Committee FY 03
OPERATING BUDGET						
Appropriated Funds						
General Fund						
02X Other Current Expenses	3,410,041	4,609,042	5,067,397	5,591,951	5,347,397	6,371,951
Agency Total - General Fund	3,410,041	4,609,042	5,067,397	5,591,951	5,347,397	6,371,951
Additional Funds Available						
Private Contributions	12,375	38,882	50,000	50,000	50,000	50,000
Federal Contributions	188,829	257,000	337,000	350,000	337,000	350,000
Agency Grand Total	3,611,245	4,904,924	5,454,397	5,991,951	5,734,397	6,771,951
BUDGET BY PROGRAM						
Administration						
General Fund						
011 Children's Trust Fund	3,410,041	4,609,042	5,067,397	5,591,951	5,347,397	6,371,951
Federal Contributions						
Comm Based Family Resource/Supp	188,829	257,000	337,000	350,000	337,000	350,000
Additional Funds Available						
Private Contributions	12,375	38,882	50,000	50,000	50,000	50,000
Total - All Funds	3,611,245	4,904,924	5,454,397	5,991,951	5,734,397	6,771,951
Agency Grand Total	3,611,245	4,904,924	5,454,397	5,991,951	5,734,397	6,771,951

BUDGET CHANGES

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount
FY 01 Estimated Expenditures - GF	0	4,236,042	0	4,236,042	0	0	0	0
Inflation and Non-Program Changes - (B)								
Other Current Expenses	0	106,355	0	220,532	0	0	0	0
Total - General Fund	0	106,355	0	220,532	0	0	0	0

Fund ChildServ Program - (B)

ChildServ is a collaborative effort of the Center for Children's Health and Development at Saint Francis Hospital and Medical Center, the Hartford Foundation for Public Giving's Brighter Futures Initiative, the Hartford Health Department and Hartford area pediatric health care providers.

Key elements of ChildServ include:

- Training of pediatric health care providers in effective, efficient detection of children with developmental or behavioral concerns.
- Access for children and families to a computerized inventory of services and programs to promote development.
- *Outreach and follow-up to facilitate access to services.

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

- Educational programs for parents and child care workers, focusing on child development, strengthening the relationship with health care providers and encouraging parents to participate in addressing children's health and developmental needs.
- *Advocacy for programs and services to meet the needs of children and families.

-(Governor) Funding, in the amount of \$225,000 in FY 02 and \$450,000 in FY 03, (for a cumulative total of \$675,000), is recommended to expand ChildServ to a statewide program.

-(Committee) Same as Governor.

Children's Trust Fund	0	225,000	0	675,000	0	0	0	0
Total - General Fund	0	225,000	0	675,000	0	0	0	0

Expenditure Update/Annualization - Healthy Families/First Steps - (B)

The FY 01 revised budget included \$375,000 in funds carried forward from FY 00 and \$300,000 in newly appropriated dollars (for a combined total of \$675,000) intended to support ten-month grants of \$168,750 each to Healthy Families/First Steps programs in Hartford, New Britain, Stamford and Torrington. The annualized cost of this program expansion is \$800,000 (or \$200,000 each).

-(Governor) Funding, in the amount of \$500,000 in each of FY 02 and FY 03, is recommended to reflect the replacement of one-time funding from carry forward and the annualization to twelve-month support of four Healthy Families/First Steps programs initiated in FY 00.

-(Committee) Same as Governor.

Children's Trust Fund	0	500,000	0	500,000	0	0	0	0
Total - General Fund	0	500,000	0	500,000	0	0	0	0

Limit FY 03 Private Provider COLA to 1.5 Percent - (B)

The Governor is proposing a 2.3 percent cost of living adjustment (COLA) in FY 02 and a 1.5 percent COLA in FY 03 for most private providers under contract with the Departments of Children and Families, Correction, Mental Health and Addiction Services (DMHAS), and Mental Retardation, the Judicial Department, the Board of Parole and the Council to Administer the Children's Trust Fund. An exception is made for DMHAS medical providers which will receive a 5.3 percent COLA in FY 02.

-(Governor) A reduction in funding, in the amount of \$39,623, is recommended in FY 03 to reflect reducing private provider cost of living adjustments from 2.4 percent to 1.5 percent in the second year of the biennium.

-(Committee) Same as Governor.

Children's Trust Fund	0	0	0	-39,623	0	0	0	0
Total - General Fund	0	0	0	-39,623	0	0	0	0

	Governor's FY 02		Governor's FY 03		Leg. Change FY 02		Leg. Change FY 03	
	Pos.	Amount	Pos.	Amount	Pos.	Amount	Pos.	Amount

Expand Healthy Families/First Steps Programs - (B)

The Healthy Families/First Steps Initiative is a voluntary program that works with and supports parents. Every first-time mother of a newborn is contacted in the hospital. A volunteer provides information about the HUSKY program and pediatric care, a developmental calendar, and an invitation for up to six months of ongoing parental support and information about community resources. High-risk families are offered weekly home visits. The average stay in the program is one year. Parents can receive services for up to five years.

-(Committee) Funding, in the amount of \$250,000 in FY 02, is provided to expand support for the Healthy Families/First Steps Initiative. These funds will be used to:

- Establish two new Healthy Families/First Steps sites as of January 2002. The FY 02 cost associated with these new programs is \$200,000. Their annualized cost is \$400,000 (or \$200,000 each).
- Provide necessary training, technical assistance and administrative support for the Healthy Families/First Steps Initiative, at a cost of \$50,000.

The sum of \$750,000 is provided in FY 03 to reflect the annualized cost of the FY 02 service expansion, and the establishment of two additional Healthy Families/First Steps sites as of October 2002.

Children's Trust Fund	0	0	0	0	0	250,000	0	750,000
Total - General Fund	0	0	0	0	0	250,000	0	750,000

Enhance Support for Training and Technical Assistance - (B)

In FY 01, the Council to Administer the Children's Trust Fund awarded \$86,200 to Prevent Child Abuse CT (a program of the Wheeler Clinic), for training of and technical assistance to sixteen operating Healthy Families programs. Based upon inflationary updates recommended by the governor, this contract will rise to \$88,182 in FY 02 and \$89,504 in FY 03.

-(Committee) Funding, in the amount of \$30,000 in each of FY 02 and FY 03, is provided to increase the state's share of the costs of training and technical assistance activities performed by Prevent Child Abuse CT.

Children's Trust Fund	0	0	0	0	0	30,000	0	30,000
Total - General Fund	0	0	0	0	0	30,000	0	30,000

Budget Totals - GF	0	5,067,397	0	5,591,951	0	280,000	0	780,000
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